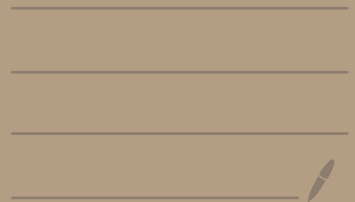


Construction of non-Kähler Calabi-Yau  
manifolds by log deformations

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## § Intro

$$X: \underbrace{CY \text{ mfd}}_{\substack{\text{def} \\ \Leftrightarrow \\ \text{(in the strict sense)}}} \left\{ \begin{array}{l} X: \text{compact complex mfd.} \\ \omega_X \cong \mathcal{O}_X \\ H^i(X, \mathcal{O}_X) = 0 = H^0(X, \Omega_X^i) \quad (0 < i < \dim X) \end{array} \right.$$

### classification

- $\dim X = 1$ : ell. curve.     $\dim X = 2$ : K3 surface
  - $\dim X \geq 3$ : not classified ( $\cong 10000$  top types of proj. CY3 }  
finiteness is not known.)
- rem  $\cong \infty$ -many top. types of non-Kähler CY3. important open problem.

(Clemens, Friedman)  $\cong X_5 \subseteq \mathbb{P}_{\mathbb{C}}^4$ : sm. quintic 3-fold with  
 $\infty$ -many disj.  $(-1, -1)$ -curves  $C_1, C_2, \dots$

$\forall m > 0$   
analytic contraction  
of  $C_1, \dots, C_m$

$\downarrow$

$X_5 \xrightarrow{\text{smoothing}} Y_m$ : non-Kähler CY3

$\downarrow$   $\downarrow$   
 $p_1, \dots, p_m$ : ODP

$$\hookrightarrow \begin{cases} b_2(Y_m) = 0 & (\text{2nd Betti \#}) \rightarrow \text{non-Kähler} \\ e(Y_m) = -200 - 2m & (\text{top. Euler \#}) \rightarrow \text{co-many top types.} \end{cases}$$

• (Miyazaki, Friedman, Oguiso)

analytic flop of a  $(-1, -1)$ -curve on a proj. CY3  $\hookrightarrow \infty$ -many top. types of Moishezon CY3.

• (Hashimoto-S.)

$$\forall a > 0 \exists X(a) : \text{non-Kähler CY3 w/ } \begin{cases} b_2(X(a)) = a + 3 \\ a(X(a)) = 1 & (\text{algebraic dimension}) \\ \text{w/ } X(a) \rightarrow \mathbb{P}^1 : \mathbb{K}^3 \text{ fibration.} \end{cases}$$

(constructed by smoothing simple normal crossing var.)

Q : Fix  $N \geq 4$ .

(Can we construct  $\infty$ -many top. types of non-Kähler CY  $N$ -folds?)

Main Thm (S.)  $\forall N \geq 4$  : fix,  $\forall m > 0$ .

$\Rightarrow \exists X(m)$  : non-Kähler CY  $N$ -fold s.t.

- $b_2(X(m)) = \begin{cases} m+10 & (N=4) \\ m+2 & (N \geq 5) \end{cases}$

$\infty$  ( $m \rightarrow \infty$ )

$\bullet a(X(m)) = N-2$  &

$\exists f: X(m) \rightarrow T$  :  $\mathbb{C}^3$  fibration over  $T$

( $T \rightarrow \mathbb{P}^{N-2}$  : blow-up along sm. codim 2. subvar)

Construction

Use log deformation theory

due to Kawamata-Namikawa

rem:

N-H. Lee :  $\exists$  non-Kähler CY 4-fold (smoothing SNC variety)

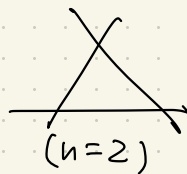
$X, Y$  : CY  $m$ -fold  $\Rightarrow X \times Y$  : "not strict CY" ( $\odot H^0(\Omega_{X \times Y}^{\dim X}) \neq 0$ )

$\begin{cases} \dim X > 0 \\ \dim Y > 0 \end{cases}$

# § log deformation theory of SNC CY varieties

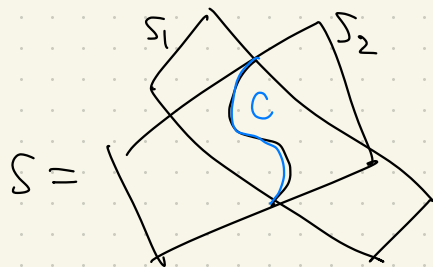
$X$ : SNC CY variety  $\stackrel{\text{def}}{\iff} \left. \begin{array}{l} X: \text{proper SNC } \mathbb{C}\text{-scheme.} \\ W_X \cong \mathcal{O}_X \end{array} \right\} \left( X = \bigcup_{i=1}^N X_i \text{ (} X_i \text{: sm. proper var.)} \right)$

e.g. (1)  $X := (z_0 \cdots z_n = 0) \subseteq \mathbb{P}_{\mathbb{C}}^n$ : SNC CY  $(n-1)$ -fold.



(2)  $S = (q_1, q_2 = 0) \subseteq \mathbb{P}_{\mathbb{C}}^3$   $[q_i \in |\mathcal{O}_{\mathbb{P}^3}(2)| \text{ : general}]$

$S_1 \vee S_2$  ( $S_i := (q_i = 0) \supseteq C := (q_1 = q_2 = 0)$ )



Thm (Friedman, Kawamata-Namikawa, Chan-Lung-Ma, Flenner-Petracci)

$X$ : SNC CY var, Assume  $X$ : "d-semistable" (Flenner-Filip-Ruddat.)

$\Rightarrow X$  has a semistable smoothing, i.e.

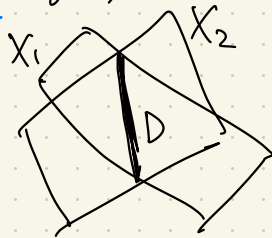
$$\exists \phi: \mathcal{X} \rightarrow \Delta^1: \text{deformation of } X \text{ s.t. } \begin{cases} \mathcal{X}: \text{smooth} \\ \mathcal{X}_\lambda: \text{smooth } (\lambda \neq 0) \\ (\& \mathcal{W}_{\mathcal{X}_\lambda} \cong \mathcal{D}_{\mathcal{X}_\lambda}) \end{cases}$$

$\begin{array}{ccc} \mathcal{X} & \rightarrow & \Delta^1 \\ \cup & & \downarrow \\ \mathcal{X}_0 & \rightarrow & 0 \\ \cong & & \uparrow \\ X & & \end{array}$ 
unit disk

rem (1) d-semistability ... necessary condition for  $\exists$  ce of s.s. smoothing. (Friedman)

(2) When  $X = X_1 \cup X_2$ : SNC var &  $D := X_1 \cap X_2 = \text{Sing } X_1$

•  $X$ : d-s.s.  $\Leftrightarrow \underbrace{N_{D/X_1}}_{\uparrow} \otimes \underbrace{N_{D/X_2}}_{\uparrow} \cong \mathcal{D}_D$   
normal bundles.



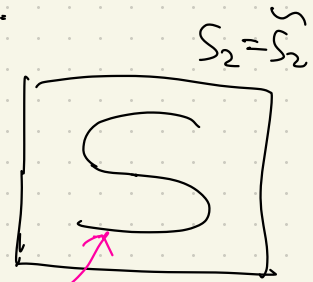
e.g. (i)  $\sum_0 := (d_1, d_2 = 0) \subseteq \mathbb{P}_C^3$  as before.

$$\sum_1 \cup \sum_2 \supseteq C = (d_1 = d_2 = 0) \quad (S_i := (d_i = 0) \subseteq \mathbb{P}^3)$$

$\Rightarrow \sum_0$ : not d-semistable. ( $\odot N_{C/S_1} \oplus N_{C/S_2} \cong \mathcal{O}_C(2) \oplus \mathcal{O}_C(2) \cong \mathcal{O}_C(4) \neq \mathcal{O}_C$ )

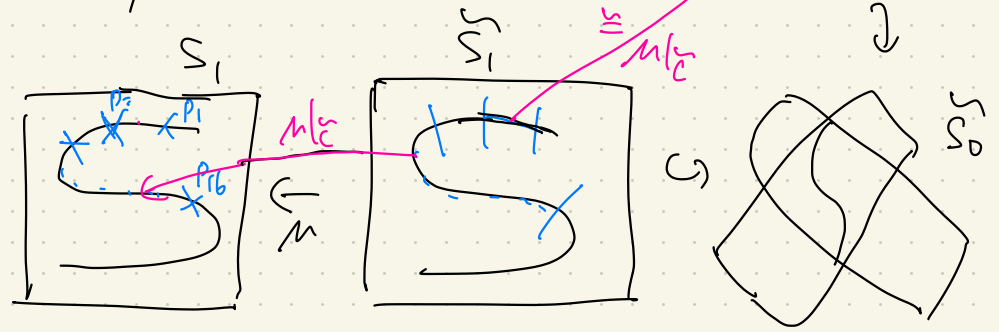
(ii). In (i), take  $p_1 + \dots + p_{16} \in |\mathcal{O}_C(4)|$ : distinct 16 points.

$M: \sum_1 \rightarrow \sum_0$ : blow-up at  $p_1, \dots, p_{16}$   
 $H(\sum_1) \rightarrow \sum_1 \xrightarrow{M|_S} C$   
 $\Rightarrow \sum_0 \cong \sum_1 \cup \sum_2$ : glued by  $M|_C: C \rightarrow C$



$\Rightarrow \sum_0$ : d-s.s. SNC CY.

( $\odot N_{C/S_1} \oplus M|_C^* N_{C/S_2}$   
 $\cong \mathcal{O}_C(-2) \oplus \mathcal{O}_C(2) \cong \mathcal{O}_C$ .)



We used :

Fact (Anantharaman, Ferrand, ...) )

$X_1, X_2$  : sm. proper var.,  $D_i \subseteq X_i$  : smooth divisor ( $i=1,2$ ) with  $\phi: D_1 \xrightarrow{\cong} D_2$ .

$\Rightarrow \exists X_0$  : SNC. proper variety with  $\zeta_i: X_i \hookrightarrow X_0$  &  $D_1 \hookrightarrow X_1$   
 $\zeta_i$  : cld. imm.  
 $X_1 \xrightarrow{\phi} X_2 \hookrightarrow X_0$   
 $X_1 \xrightarrow{\phi} X_2$  depends on  $\phi$ !

rem : If  $D_i \in |-K_{X_i}|$  &  $D_i$  : connected, then  $X_0$  : SNC. CY.  
( $i=1,2$ )

We shall construct examples by several isomorphisms of  
rational elliptic surfaces  
& CY mtds of Schoen's type.



# §. Rational elliptic surfaces & their quadratic transformations

Prop.  $S \in |\mathcal{O}_{\mathbb{P}^2 \times \mathbb{P}^1}(3,1)| (= |\pi_1^* \mathcal{O}_{\mathbb{P}^2}(3) \otimes \pi_2^* \mathcal{O}_{\mathbb{P}^1}(1)|)$  : general smooth.

$\pi_1 : S \rightarrow \mathbb{P}^2$ ,  $\pi_2 : S \rightarrow \mathbb{P}^1$  : projections.

$\Rightarrow$   $S$  : rational elliptic surface. s.t.  $\pi_2 : S \rightarrow \mathbb{P}^1$  : elliptic fib.  
•  $\pi_1 : S \rightarrow \mathbb{P}^2$  : blow-up at 9 points  $\underline{P_1, \dots, P_9}$ .

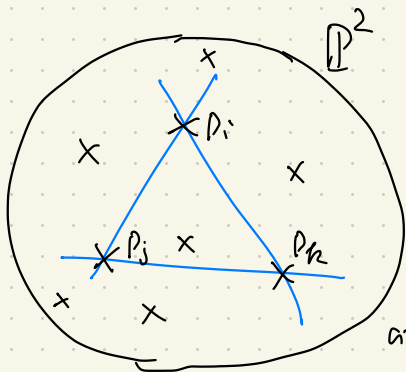
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follows from:  $S = (sF_1 + tF_2 = 0) \subseteq \mathbb{P}^2 \times \mathbb{P}^1$  ( $F_i \in |\mathcal{O}_{\mathbb{P}^2}(3)|$  : general)   
 ( $[z_0 : z_1 : z_2], [s : t]$ )   
 ( $F_1 = F_2 = 0$ )   
 ( $r=1,2$ )   
 □

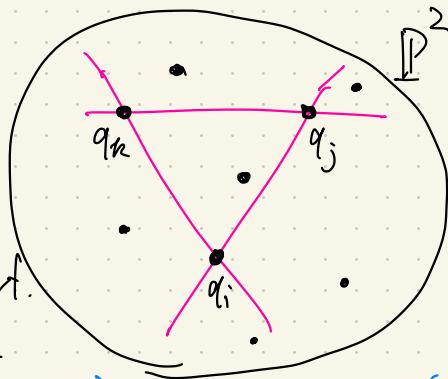
rem (Manin, Totaro)

•  $P_1, \dots, P_9$  : "Cremona general position" (i.e.  $\forall 3$  points are not collinear even after any quadratic transformations)

eg



$\chi_{ijk}$   
 $\rightarrow$   
 quadratic trf.  
 at  $P_i, P_j, P_k$



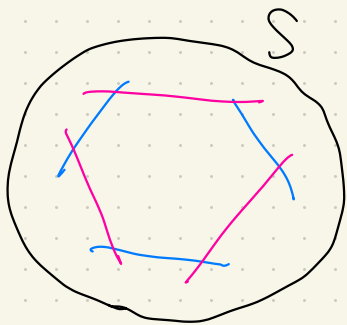
quadratic trf  
 at  $q_i, q_j, q_k, \dots$

bl-up  
 $P_1, \dots, P_9$

(e.g.)  
 $[z_0 : z_1 : z_2] \mapsto [\frac{1}{z_0} : \frac{1}{z_1} : \frac{1}{z_2}]$

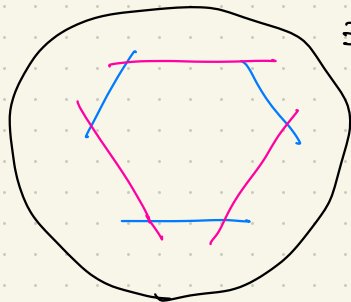
bl-up  
 $q_1, \dots, q_9$

{ we can perform  $\forall$  times  
 if  $P_i, \dots, P_9$ : Cremona's trick }



$\cap$   
 $\mathbb{P}^2 \times \mathbb{P}^1$

$\phi_{ijk}$   
 $\cong$



$\cap$   
 $\mathbb{P}^2 \times \mathbb{P}^1$

$\chi_{ijk} \times id$   
 $\rightarrow$

$\exists S_{ijk} \in |g_{\mathbb{P}^2 \times \mathbb{P}^1}(3, 1)|$   
 general sm.

$\rightarrow$   
 $\phi_{ijk}$

Notation for  $R \in |\mathcal{O}_{\mathbb{P}^2 \times \mathbb{P}^1}(3,1)|$  <sup>put</sup>  $M_R := \pi_2 : R \rightarrow \mathbb{P}^2$  : birat.  
 for general sm.  $\left\{ \begin{array}{l} H_R := M_R^* \mathcal{O}_{\mathbb{P}^2}(2) : \text{ref \& biz on } R, \\ (H_S, H_{S_{ij}}, \dots) \end{array} \right.$

Note :  $\phi_{ij}^*(H_{S_{ij}}) = 2H_S - E_i - E_j - E_n$  ( $E_l = M_S^{-1}(p_l)$  ( $l=1, \dots, 9$ ))  
 : exc. curves on  $S$ .

$\hookrightarrow \forall m > 0 \exists \phi_m : S \xrightarrow{\cong} S_m \in |\mathcal{O}_{\mathbb{P}^2 \times \mathbb{P}^1}(3,1)|$ . removable property!

composition of  
 6m quadratic trf.

s.t.  $H_S + \phi_m^*(H_{S_m}) - f_1 - \dots - f_m$  : ample & free  
 (  $f_i \in |K_S|$  : all curves )  
 ( $i=1, \dots, m$ )  
sufficiently positive!

e.g.  
 $\phi_1 = ( \tau ) \circ ( \phi_{229} \circ \phi_{416} \circ \phi_{123} )$ .

Prop.  $n \geq 2$ .  $T \in |\mathcal{O}_{\mathbb{P}^1 \times \mathbb{P}^n}(1, n+1)|$ : general sm,  $\left. \begin{array}{l} \pi_1: T \rightarrow \mathbb{P}^1 \\ \pi_2: T \rightarrow \mathbb{P}^n \end{array} \right\}$  projections.

$\Rightarrow \pi_2: T \rightarrow \mathbb{P}^n$ : blow-up along a sm. subvar of codim = 2.

( $\odot$   $T = (sG_1 + tG_2 = 0) \subseteq \mathbb{P}^1 \times \mathbb{P}^n$ ,  $G_i \in |\mathcal{O}_{\mathbb{P}^n}(n+1)|$ : general.  $(G_1 = G_2 = 0)$ )

Prop.  $\mathbb{P}^2 \times \mathbb{P}^1 \times \mathbb{P}^n \supseteq S \times \mathbb{P}^n =: D_S$ ,  $D_{ST} := D_S \cap D_T \in |-K_{D_T}|$   
 $\supseteq \mathbb{P}^2 \times T =: D_T$  / general.

$\Rightarrow D_{ST}$ : proj. CY mfd. &  $D_{ST} \cong S \times_{\mathbb{P}^1} T$  ( $\begin{array}{l} S \rightarrow \mathbb{P}^1 \\ T \rightarrow \mathbb{P}^1 \end{array}$ : projections)

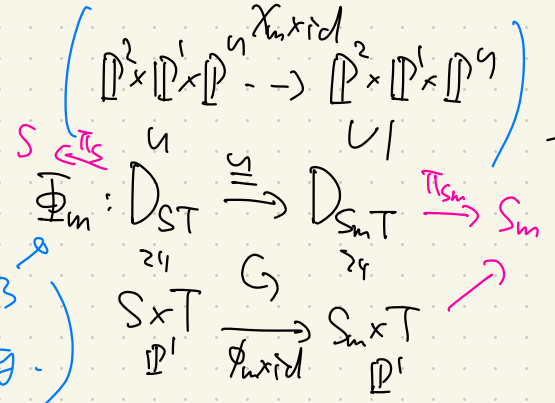
(rem Schoen, Hosono-Saito-Stienstra:  $n=2$ . (Schoen's CY 3)  $D_{ST}$ )

Summarize:

$$\exists \phi_m : S \xrightarrow{\cong} S_m \in |\mathcal{D}_{\mathbb{P}^2 \times \mathbb{P}^1}(3,1)|$$

$$\& T \in |\mathcal{D}_{\mathbb{P}^1 \times \mathbb{P}^n}(1, n+1)| \quad \xrightarrow{\text{includes}}$$

(use this for gluing.)



Prop.  $\text{Pic } D_{ST} \cong \text{Pic } S \oplus \text{Pic } T / \mathcal{Z}(-K_S, K_T) \cong \mathcal{Z}^{n+1} = \begin{cases} \mathbb{Z}^{19} & (n=2) \\ \mathbb{Z}^{11} & (n \geq 3) \end{cases}$

$\forall \mathcal{L} = [(\mathcal{L}_S, \mathcal{L}_T)]$ . ( $\mathcal{L}_S \in \text{Pic } S, \mathcal{L}_T \in \text{Pic } T$ )

$\rightarrow \Phi_m^* : \text{Pic } D_{S_m T} \rightarrow \text{Pic } D_{ST}$  is described by:

$$\Phi_m^* \left( \underbrace{[\mathcal{L}_{S_m}, \mathcal{L}_T]}_{\text{Pic } D_{S_m T}} \right) = [\phi_m^* \mathcal{L}_{S_m}, \mathcal{L}_T]. \quad (\text{e.g. } \Phi_m^* \pi_{S_m}^*(H_{S_m}) = \pi_S^*(\phi_m^*(H_{S_m})).)$$

# § Construction of examples

$$Y_1 := \mathbb{P}^2 \times T, \quad Y_2 := \mathbb{P}^2 \times T \quad \forall m > 0.$$

$$D_1 := D_{ST} \quad D_2 := D_{S_m T}$$

$$\xrightarrow[\Phi_m]{\cong}$$

$\Rightarrow Y_0(m) := Y_1 \overset{\Phi_m}{\cup} Y_2$  : SNC CY. ( $\odot D_i \in \text{cl}(K_{Y_i})$ )  
 but not d-semistable.

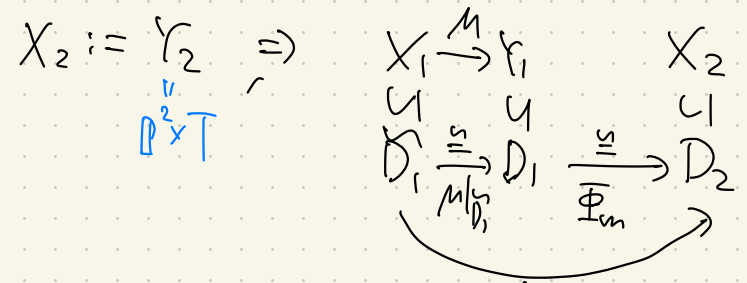
Recall:  $\left( \begin{array}{c} \mathbb{P}^2 \times \mathbb{P}^1 \times \mathbb{P}^n \supseteq D_T =: \mathbb{P}^2 \times T \\ \cup \quad \cup \\ S \times \mathbb{P}^n = D_S \supseteq D_{ST} = D_S \cap D_T \end{array} \right) \xrightarrow{\pi_S} S$   
 $S$ : projection.

$$\left( \begin{array}{l} \odot N_{D_1/Y_1} \otimes \Phi_m^* N_{D_2/Y_2} \cong \pi_S^* \mathcal{O}_S(3H_S + (-K_S)) \otimes \Phi_m^* \pi_S^* \mathcal{O}_S(3H_{S_m} + (-K_S)) \\ \cong \pi_S^* \mathcal{O}_S(3H_S + \underbrace{\Phi_m^*(3H_{S_m})}_{!!} + (-2K_S)) \neq \emptyset \\ L_m : \text{ample!} \end{array} \right)$$

key:  $L_m + mK_S$  : still ample & free! (by remarkable property)  
 $(f_i \in \text{cl}(K_S) : \text{general})$   
 $(L_m - f_1 - \dots - f_m) \geq \emptyset \Rightarrow C_m$  : smooth irred. ( $i=1, \dots, m$ )

Let  $F_i := \pi_S^{-1}(f_i)$  ( $i=1, \dots, m$ ),  $P_m := \pi_S^{-1}(C_m) \subseteq D_1$ : <sup>sm.</sup>divisors  $(\Rightarrow F_i, P_m \subseteq Y_1)$   
 $(D_{ST} \xrightarrow{\pi_S} S)$   
 $\text{codim}=2$

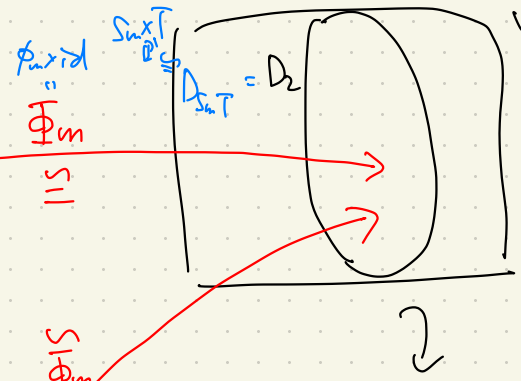
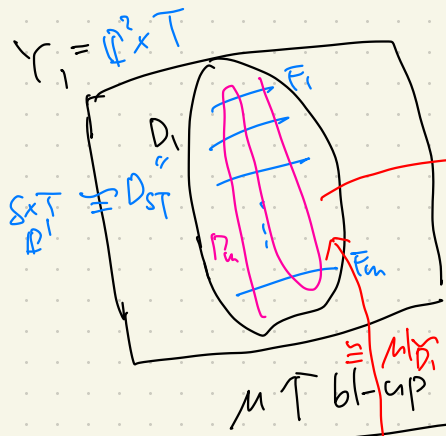
$\mu: X_1 \xrightarrow{\varphi} Y_1 \xrightarrow{\varphi} Y_1$ : proj. birat.  
 $\tilde{P}_m \xrightarrow{\cong} P_m = \mathbb{P}^2 \times T$   
 bl-up  $\tilde{P}_m$       blow-up  $F_1, \dots, F_m$



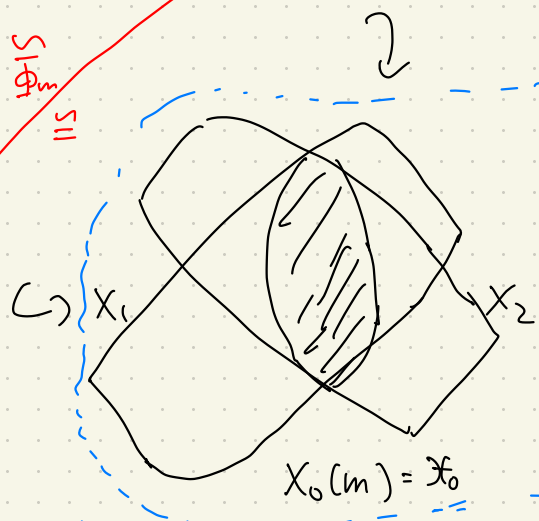
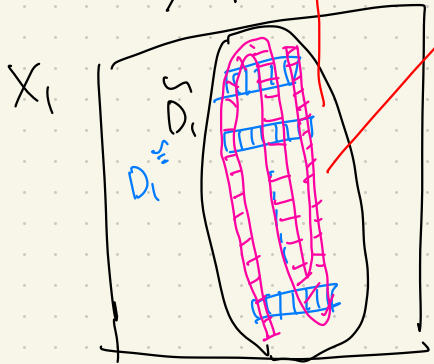
$\Rightarrow X_0(m) := X_1 \overset{\Phi_m}{\cup} X_2$ : SNC. CY ( $\odot \tilde{D}_1 \in |K_{X_1}|$ )  
 &  $\odot D_2 \in |K_{X_2}|$   
 d-semistable

$(\odot N_{X_1/Y_1} \oplus \Phi_m^* N_{X_2/Y_2} \cong \mathcal{O}_A(F_1 + \dots + F_m + P_m))$

$\rightsquigarrow X_0(m)$  can be deformed to  $X(m)$ : CY N-fold.  
Thm(KN) (not nec. proj.)



$M \uparrow$  bl-up



S.S. smoothing  
via



Friedman's det  $\Omega_{X^*}(k, k)_{X_0}$

$H^i(X, \mathcal{O}_X) = 0 = H^0(\Omega_X^i)$   
cup semi-st.  $\uparrow$





Properties of  $X(m)$  (i).  $b_2(X(m)) = m + \rho_T$   $\left( \rho_T := \text{rank Pic } T = \begin{cases} 10 & (n=2) \\ 2 & (n \geq 3) \end{cases} \right)$   
 (ii).  $a(X(m)) = N - 2$  (alg. dim.)  
 $(T \in |\mathcal{O}_{\mathbb{P}^r, \mathbb{P}^n}(1, n+1)|$  general sm.)

$\Rightarrow \varphi_m: X(m) \rightarrow T$ : K3 fibration.  $\left( X(m) : \text{fiber over } t \in \mathcal{O} : \text{very general} \right)$

prf (i). First:  $b_2(X_0(m)) = m + \rho_T + 1$  by the exact sequence:

$$0 \rightarrow \text{Pic } X_0(m) \rightarrow \text{Pic } X_1 \oplus \text{Pic } X_2 \xrightarrow{R} \text{Pic } X_{12} \cong (\text{Pic } S \oplus \text{Pic } T) / \mathbb{Z}\langle \kappa_S, \kappa_T \rangle$$

$(X_{12} := X_1 \cap X_2)$

$$0 \rightarrow \mathbb{Z}^{\rho_T + m + 1} \rightarrow \mathbb{Z}^{m + \rho_T + 2} \oplus \mathbb{Z}^{2 + \rho_T} \rightarrow \text{Im } R \cong \mathbb{Z}^{\rho_T + 2} \rightarrow 0$$

(gen'd by  $\text{Pic } T, H_S, \phi_m^*(H_{S_m})$ )

$\Rightarrow$  use Clemens map  $X(m) \xrightarrow{\sigma} X_0(m)$  : diffeo outside  $X_{12}$   $\left( \begin{array}{c} \text{S}^1\text{-ball over } X_{12} \\ \text{H}^1(\mathbb{R}^1_{\sigma^*} \mathbb{Z}_{X(m)}) \end{array} \right)$

$\Rightarrow$  Leray's spec. seq.

$$H^0(\mathbb{R}^1_{\sigma^*} \mathbb{Z}) \rightarrow H^2(X_0(m), \mathbb{Z}) \rightarrow H^2(X(m), \mathbb{Z}) \rightarrow 0$$

$\text{Pic } X_0(m) \xrightarrow{\quad} \text{Pic } X(m)$

(ii).  $\mathcal{L}_1 := M^* \pi_T^*(H_T) \in \text{Pic } X_1$ ,  $\mathcal{L}_2 := \pi_T^* H_T \in \text{Pic } X_2$  (  $\cdot H_T$ : very ample on  $T$   
 $\cdot \pi_T: \mathbb{P}^2 \times T \rightarrow T$ : proj.  
 $\begin{matrix} T \\ \uparrow \\ X_1 \end{matrix}$  )

$\leadsto \mathcal{L}_0 \in \text{Pic } X_0(m)$  s.t.  $\Phi_{|\mathcal{L}_0|}: X_0(m) \rightarrow T$ : fiber space.

$\leadsto \mathcal{L}_A \in \text{Pic } X(m)$  s.t.  $\Phi_{|\mathcal{L}_A|}: X(m) \rightarrow T$ : K3 fibration.  $\Rightarrow a(X(m)) \geq N-2$ .

• Suppose  $\exists M_A \in \text{Pic } X(m)$  w/  $K(M_A) \geq N-1$ .

$\leadsto \exists M_0 \in \text{Pic } X_0(m)$  s.t.  $\begin{cases} K(M_0) \geq N-1 & \text{--- } (*) \\ M_0|_{X_i} \text{ : effective } (i=1,2). \end{cases}$   
 upp. semi-conti + some argument

claim  $\nexists M_0 \in \text{Pic } X_0(m)$  as in  $(*)$ .

rem  $X(m)$ : simp. wou'd.

(-by calculation.)  
 $\cdot H_S, \pi_S^*(H_{S_0}) \in \text{Pic } S / \cong k_S$   
 : lin. indep

## § Further problems

Q: Does  $X(m)$  satisfy  $\partial\bar{\partial}$ -lemma & Hard Lefschetz property?  
( $\mathbb{R}$  Hodge decomposition (with Hodge symmetry))

rem: The Hodge to de Rham spec. seq

$$H^q(X, \Omega_X^p) \Rightarrow H^{p+q}(X, \mathbb{C}) : \text{degen at } E_1.$$

Q.  $\forall N \geq 3: \exists X$ . Are there so-many non-Kähler CY  $N$ -fold  $X$  with  $a(X) = N-1$ ?  
( $X \xrightarrow{2} S$ : ell. fibration)

Reid's fantasy: Can we connect projective CY 3-folds via geometric transitions?

Q:  $\exists$  geometric relation between proj. CY mflds &  $X(m)$ ?

