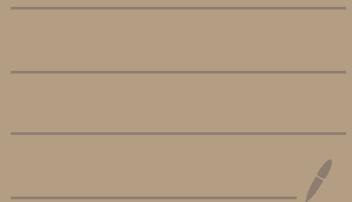


Construction of non-Kähler Calabi-Yau
manifolds by log deformations

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§ Intro

$$X: \underbrace{CY \text{ mfd}}_{\substack{\text{def} \\ \Leftrightarrow \\ \text{(in the strict sense)}}} \left\{ \begin{array}{l} X: \text{compact complex mfd.} \\ \omega_X \cong \mathcal{O}_X \\ H^i(X, \mathcal{O}_X) = 0 = H^0(X, \Omega_X^i) \quad (0 < i < \dim X) \end{array} \right.$$

classification

- $\dim X = 1$: ell. curve. $\dim X = 2$: K3 surface
 - $\dim X \geq 3$: not classified ($\cong 10000$ top types of proj. CY3 }
finiteness is not known.)
- rem $\cong \infty$ -many top. types of non-Kähler CY3. important open problem.

(Clemens, Friedman) $\cong X_5 \subseteq \mathbb{P}_{\mathbb{C}}^4$: sm. quintic 3-fold with
 ∞ -many disj. $(-1, -1)$ -curves C_1, C_2, \dots

$\forall m > 0$
analytic contraction
of C_1, \dots, C_m

\downarrow

$X_5 \xrightarrow{\text{smoothing}} Y_m$: non-Kähler CY3

\downarrow \downarrow
 p_1, \dots, p_m : ODP

$$\hookrightarrow \begin{cases} b_2(Y_m) = 0 & (\text{2nd Betti \#}) \rightarrow \text{non-Kähler} \\ e(Y_m) = -200 - 2m & (\text{top. Euler \#}) \rightarrow \text{co-many top types.} \end{cases}$$

• (Miyazaki, Friedman, Oguiso)

analytic flop of a $(-1, -1)$ -curve on a proj. CY3 $\hookrightarrow \infty$ -many top. types of Moishezon CY3.

• (Hashimoto-S.)

$$\forall a > 0 \exists X(a) : \text{non-Kähler CY3} \quad \text{w/} \begin{cases} b_2(X(a)) = a + 3 \\ a(X(a)) = 1 & (\text{algebraic dimension}) \\ \text{w/ } X(a) \rightarrow \mathbb{P}^1 : \mathbb{K}^3 \text{ fibration.} \end{cases}$$

(constructed by smoothing simple normal crossing var.)

Q : Fix $N \geq 4$.

(Can we construct ∞ -many top. types of non-Kähler CY N -folds?)

Main Thm (S.) $\forall N \geq 4$: fix, $\forall m > 0$.

$\Rightarrow \exists X(m)$: non-Kähler CY N -fold s.t. $b_2(X(m)) = \begin{cases} m+10 & (N=4) \\ m+2 & (N \geq 5) \end{cases}$

∞ ($m \rightarrow \infty$)

$\bullet a(X(m)) = N-2$ &

$\exists f: X(m) \rightarrow T$: \mathbb{C}^3 fibration over T

($T \rightarrow \mathbb{P}^{N-2}$: blow-up along sm. codim 2. subvar)

Construction

Use log deformation theory

due to Kawamata-Namikawa

rem:

N-H. Lee : \exists non-Kähler CY 4-fold (smoothing SNC variety)

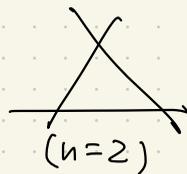
X, Y : CY m -fold $\Rightarrow X \times Y$: "not strict CY" ($\odot H^0(\Omega_{X \times Y}^{\dim X}) \neq 0$)

$\begin{cases} \dim X > 0 \\ \dim Y > 0 \end{cases}$

§ log deformation theory of SNC CY varieties

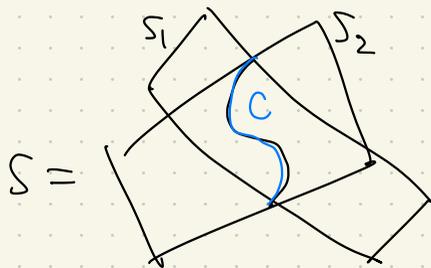
X : SNC CY variety $\stackrel{\text{def}}{\iff} \left. \begin{array}{l} X: \text{proper SNC } \mathbb{C}\text{-scheme.} \\ W_X \cong \mathcal{O}_X \end{array} \right\} \left(X = \bigcup_{i=1}^N X_i \text{ (} X_i \text{: sm. proper var.)} \right)$

e.g. (1) $X := (z_0 \cdots z_n = 0) \subseteq \mathbb{P}_{\mathbb{C}}^n$: SNC CY $(n-1)$ -fold.



(2) $S = (q_1, q_2 = 0) \subseteq \mathbb{P}_{\mathbb{C}}^3$ [$q_i \in |\mathcal{O}_{\mathbb{P}^3}(2)|$: general]

$S_1 \vee S_2$ ($S_i := (q_i = 0) \supseteq C := (q_1 = q_2 = 0)$)



Thm (Friedman, Kawamata-Namikawa, Chan-Lung-Ma, Flenner-Petracci)

X : SNC CY var, Assume X : "d-semistable" (Flenner-Filip-Ruddat.)

$\Rightarrow X$ has a semistable smoothing, i.e.

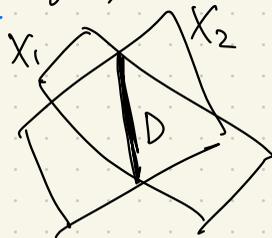
$$\exists \phi: \mathcal{X} \rightarrow \Delta^1: \text{deformation of } X \text{ s.t. } \begin{cases} \mathcal{X}: \text{smooth} \\ \mathcal{X}_\lambda: \text{smooth } (\lambda \neq 0) \\ (\& \mathcal{W}_{\mathcal{X}_\lambda} \cong \mathcal{D}_{\mathcal{X}_\lambda}) \end{cases}$$

$\begin{array}{ccc} \mathcal{X} & \rightarrow & \Delta^1 \\ \cup & & \downarrow \\ \mathcal{X}_0 & \rightarrow & 0 \\ \cong & & \uparrow \\ X & & \end{array}$
 unit disk

rem (1) d-semistability ... necessary condition for \exists ce of s.s. smoothing. (Friedman)

(2) When $X = X_1 \cup X_2$: SNC var & $D := X_1 \cap X_2 = \text{Sing } X_1$

• X : d-s.s. $\Leftrightarrow \underbrace{N_{D/X_1}}_{\uparrow} \otimes \underbrace{N_{D/X_2}}_{\uparrow} \cong \mathcal{D}_D$
 normal bundles.



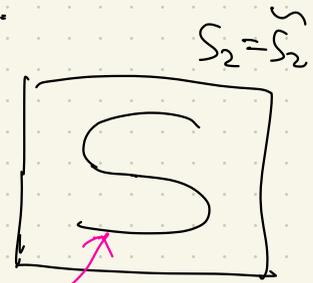
e.g. (i) $\sum_0 := (q_1, q_2 = 0) \subseteq \mathbb{P}_C^3$ as before.

$$S_1 \cup S_2 \supseteq C = (q_1 = q_2 = 0) \quad (S_i := (q_i = 0) \subseteq \mathbb{P}^3)$$

$\Rightarrow S_0$: not d-semistable. ($\odot N_{C/S_1} \oplus N_{C/S_2} \cong \mathcal{O}_C(2) \oplus \mathcal{O}_C(2) \cong \mathcal{O}_C(4) \neq \mathcal{O}_C$)

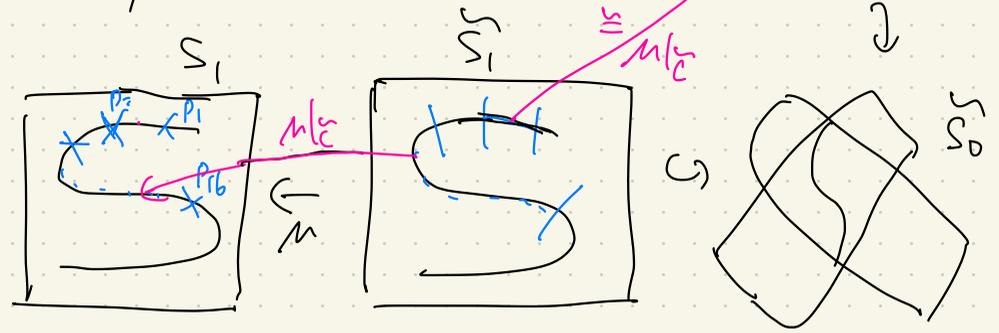
(ii). In (i), take $p_1 + \dots + p_{16} \in |\mathcal{O}_C(4)|$: distinct 16 points.

$M: \sum_1 \rightarrow \sum_0$: blow-up at p_1, \dots, p_{16}
 $H(\sum_1) \rightarrow \sum_1 \xrightarrow{M|_S} C$
 $\Rightarrow \sum_0 \cong \sum_1 \cup \sum_2$: glued by $M|_C: C \rightarrow C$



$\Rightarrow \sum_0$: d-s.s. SNC CY.

$$\left(N_{C/S_1} \oplus M|_C^* N_{C/S_2} \cong \mathcal{O}_C(-2) \oplus \mathcal{O}_C(2) \cong \mathcal{O}_C \right)$$



We used :

Fact (Anantharaman, Ferrand, ...))

X_1, X_2 : sm. proper var, $D_i \subseteq X_i$: smooth divisor ($i=1,2$) with $\phi: D_1 \xrightarrow{\cong} D_2$.

$\Rightarrow \exists X_0$: SNC. proper variety with $\iota_i: X_i \hookrightarrow X_0$ & $D_i \hookrightarrow X_i$
 $\downarrow \cong$ (old. imm.)
 $D_2 \hookrightarrow X_2 \hookrightarrow X_0$

$X_1 \xrightarrow{\phi} X_2$ depends on ϕ !

rem : If $D_i \in |-K_{X_i}|$ & D_i : connected, then X_0 : SNC. CY.
($i=1,2$)

We shall construct examples by several isomorphisms of
rational elliptic surfaces
& CY mtds of Schoen' type.

§. Rational elliptic surfaces & their quadratic transformations

Prop. $S \in |\mathcal{O}_{\mathbb{P}^2 \times \mathbb{P}^1}(3,1)| (= |\pi_1^* \mathcal{O}_{\mathbb{P}^2}(3) \otimes \pi_2^* \mathcal{O}_{\mathbb{P}^1}(1)|)$: general smooth.

$\pi_1 : S \rightarrow \mathbb{P}^2$, $\pi_2 : S \rightarrow \mathbb{P}^1$: projections.

\Rightarrow S : rational elliptic surface. s.t. $\pi_2 : S \rightarrow \mathbb{P}^1$: elliptic fib
 $\cdot \pi_1 : S \rightarrow \mathbb{P}^2$: blow-up at 9 points $\underline{P_1, \dots, P_9}$.

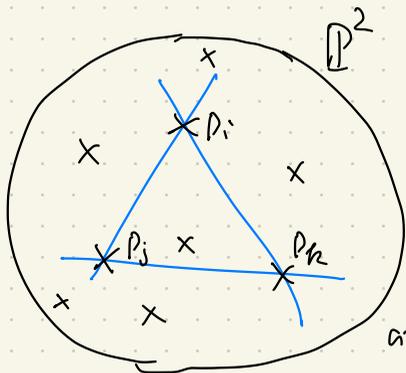
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follows from: $S = (sF_1 + tF_2 = 0) \subseteq \mathbb{P}^2 \times \mathbb{P}^1$ $\left(\begin{array}{l} F_i \in |\mathcal{O}_{\mathbb{P}^2}(3)| : \text{general} \\ (r=1,2) \end{array} \right)$
 $([z_0:z_1:z_2], [s:t])$ " $(F_1 = F_2 = 0)$ "

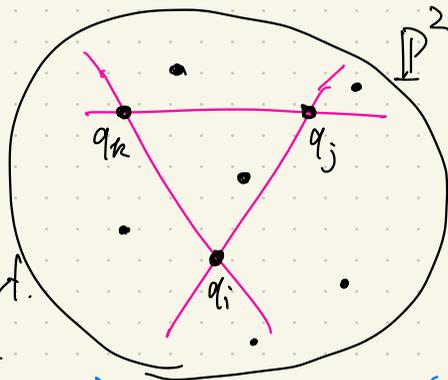
rem (Manin, Totaro)

$\bullet P_1, \dots, P_9$: "Cremona general position" $\left(\begin{array}{l} \text{i.e. } \forall 3 \text{ points are not collinear} \\ \text{even after any quadratic transformations} \end{array} \right)$

eg



χ_{ijk}
 \rightarrow
 quadratic trf.
 at P_i, P_j, P_k



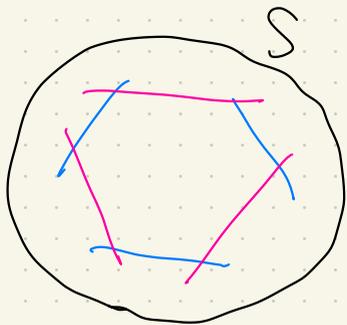
quadratic trf
 at q_i, q_j, q_k, \dots

bl-up
 P_1, \dots, P_9

(e.g.)
 $[z_0 : z_1 : z_2] \mapsto [\frac{1}{z_0} : \frac{1}{z_1} : \frac{1}{z_2}]$

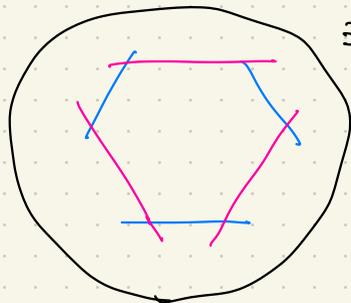
bl-up
 q_1, \dots, q_9

{ we can perform \forall times
 if P_i, \dots, P_9 : Cremona's trick }



\cap
 $\mathbb{P}^2 \times \mathbb{P}^1$

ϕ_{ijk}
 \cong



\cap
 $\mathbb{P}^2 \times \mathbb{P}^1$

$\exists S_{ijk} \in |g_{\mathbb{P}^2 \times \mathbb{P}^1}(3, 1)|$
 general sm.

\rightarrow
 ϕ_{ijk}

$\chi_{ijk} \times id$
 \rightarrow

Notation for $R \in |\mathcal{O}_{\mathbb{P}^2 \times \mathbb{P}^1}(3,1)|$ ^{put} $M_R := \pi_2 : R \rightarrow \mathbb{P}^2$: birat.
 for general sm. $\left\{ \begin{array}{l} H_R := M_R^* \mathcal{O}_{\mathbb{P}^2}(2) : \text{ref \& biz on } R, \\ (H_S, H_{S_{ij}}, \dots) \end{array} \right.$

Note : $\phi_{ij}^*(H_{S_{ij}}) = 2H_S - E_i - E_j - E_n$ ($E_l = M_S^{-1}(p_l)$ ($l=1, \dots, 9$))
 : exc. curves on S .

$\hookrightarrow \forall m > 0 \exists \phi_m : S \xrightarrow{\cong} S_m \in |\mathcal{O}_{\mathbb{P}^2 \times \mathbb{P}^1}(3,1)|$. removable property!

composition of
 6m quadratic trf.

s.t. $H_S + \phi_m^*(H_{S_m}) - f_1 - \dots - f_m$: ample & free
 ($f_i \in |K_S|$: all curves)
 ($i=1, \dots, m$)
sufficiently positive!

e.g.
 $\phi_1 = (\tau) \circ (\phi_{229} \circ \phi_{416} \circ \phi_{123})$.

Prop. $n \geq 2$. $T \in |\mathcal{O}_{\mathbb{P}^1 \times \mathbb{P}^n}(1, n+1)|$: general sm, $\left. \begin{array}{l} \pi_1: T \rightarrow \mathbb{P}^1 \\ \pi_2: T \rightarrow \mathbb{P}^n \end{array} \right\}$ projections.

$\Rightarrow \pi_2: T \rightarrow \mathbb{P}^n$: blow-up along a sm. subvar of codim = 2.

(\odot $T = (sG_1 + tG_2 = 0) \subseteq \mathbb{P}^1 \times \mathbb{P}^n$, $G_i \in |\mathcal{O}_{\mathbb{P}^n}(n+1)|$: general. $(G_1 = G_2 = 0)$)

Prop. $\mathbb{P}^2 \times \mathbb{P}^1 \times \mathbb{P}^n \supseteq S \times \mathbb{P}^n =: D_S$, $D_{ST} := D_S \cap D_T \in |-K_{D_T}|$
 $\supseteq \mathbb{P}^2 \times T =: D_T$ / general.

$\Rightarrow D_{ST}$: proj. CY mfd. & $D_{ST} \cong S \times_{\mathbb{P}^1} T$ ($\begin{array}{l} S \rightarrow \mathbb{P}^1 \\ T \rightarrow \mathbb{P}^1 \end{array}$: projections)

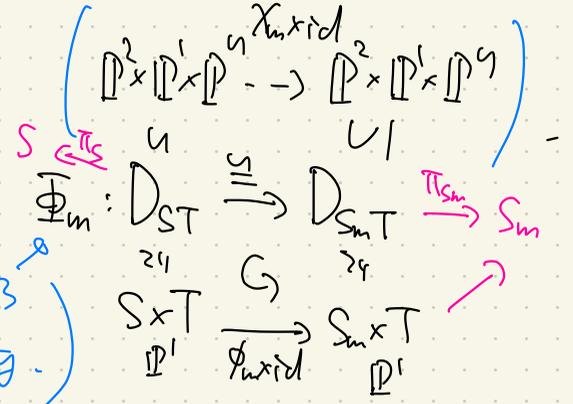
(rem Schoen, Hosono-Saito-Stienstra: $n=2$. (Schoen's CY 3) D_{ST})

Summarize:

$$\exists \phi_m : S \xrightarrow{\cong} S_m \in |\mathcal{D}_{\mathbb{P}^2 \times \mathbb{P}^1}(3,1)|$$

$$\& T \in |\mathcal{D}_{\mathbb{P}^1 \times \mathbb{P}^n}(1, n+1)| \quad \xrightarrow{\text{induces}}$$

(use this for gluing.)



Pwp • $\text{Pic } D_{ST} \cong \text{Pic } S \oplus \text{Pic } T / \mathcal{Z}(-K_S, K_T) \cong \mathcal{Z}^{n+1} = \begin{cases} \mathbb{Z}^{19} & (n=2) \\ \mathbb{Z}^{11} & (n \geq 3) \end{cases}$

$\mathcal{Z} \subset \mathcal{L} = [(\mathcal{L}_S, \mathcal{L}_T)]$. ($\mathcal{L}_S \in \text{Pic } S, \mathcal{L}_T \in \text{Pic } T$)

$\rightarrow \Phi_m^* : \text{Pic } D_{S_m T} \rightarrow \text{Pic } D_{ST}$ is described by:

$$\Phi_m^* \left(\frac{[\mathcal{L}_{S_m}, \mathcal{L}_T]}{\text{Pic } D_{S_m T}} \right) = [\phi_m^* \mathcal{L}_{S_m}, \mathcal{L}_T]$$

(e.g. $\Phi_m^* \pi_{S_m}^*(H_{S_m}) = \pi_S^*(\phi_m^*(H_{S_m}))$.)

§ Construction of examples

$$Y_1 := \mathbb{P}^2 \times T, \quad Y_2 := \mathbb{P}^2 \times T \quad \forall m > 0.$$

$$D_1 := D_{ST} \quad D_2 := D_{S_m T}$$

$$\xrightarrow[\Phi_m]{\cong}$$

$\Rightarrow Y_0(m) := Y_1 \overset{\Phi_m}{\cup} Y_2$: SNC CY. ($\odot D_i \in \text{cl}(K_{Y_i})$)
 but not d-semistable.

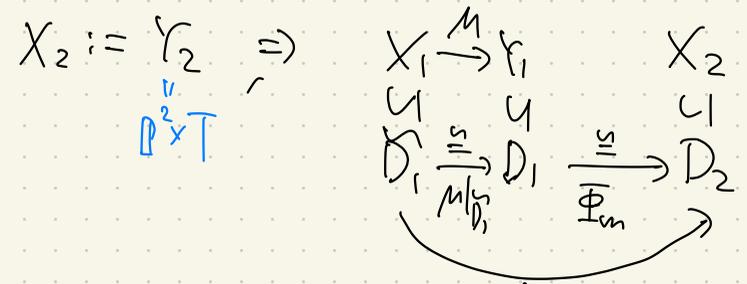
Recall: $\left(\begin{array}{c} \mathbb{P}^2 \times \mathbb{P}^1 \times \mathbb{P}^n \supseteq D_T =: \mathbb{P}^2 \times T \\ \cup \quad \cup \\ S \times \mathbb{P}^n = D_S \supseteq D_{ST} = D_S \cap D_T \end{array} \right) \xrightarrow{\pi_S} S$
 S : projection.

$$\left(\begin{array}{l} \odot N_{D_1/Y_1} \otimes \Phi_m^* N_{D_2/Y_2} \cong \pi_S^* \mathcal{O}_S(3H_S + (-K_S)) \otimes \Phi_m^* \pi_S^* \mathcal{O}_S(3H_{S_m} + (-K_S)) \\ \cong \pi_S^* \mathcal{O}_S(3H_S + \underbrace{\Phi_m^*(3H_{S_m})}_{!!} + (-2K_S)) \neq \emptyset \\ L_m : \text{ample!} \end{array} \right)$$

key: $L_m + mK_S$: still ample & free! (by remarkable property)
 $(f_i \in \text{cl}(K_S) : \text{general})$
 $(L_m - f_1 - \dots - f_m) \geq \emptyset \Rightarrow C_m$: smooth irred. ($i=1, \dots, m$)

Let $F_i := \pi_S^{-1}(f_i)$ ($i=1, \dots, m$), $P_m := \pi_S^{-1}(C_m) \subseteq D_1$: ^{sm.}divisors $(\Rightarrow F_i, P_m \subseteq Y_1)$
 $(D_{ST} \xrightarrow{\pi_S} S)$
 $\text{codim} = 2$

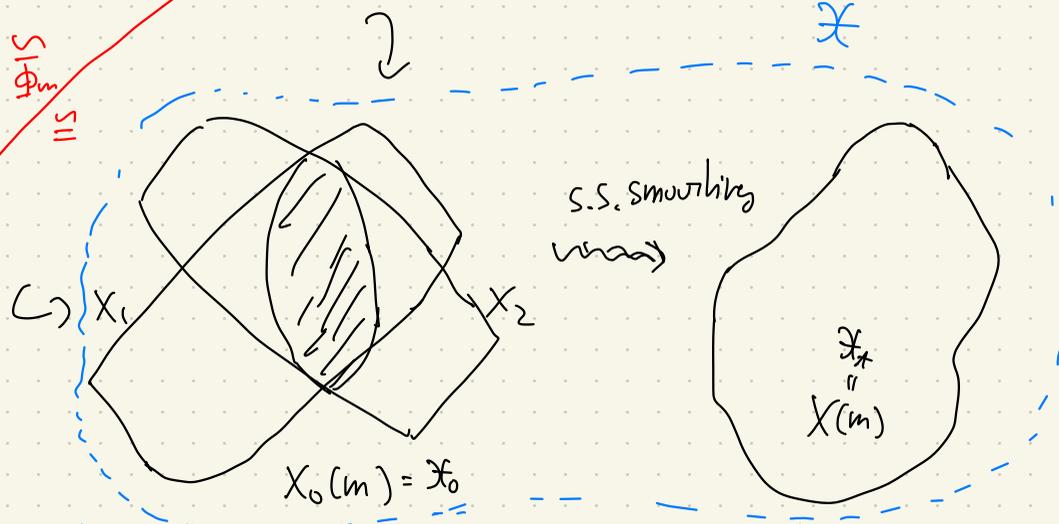
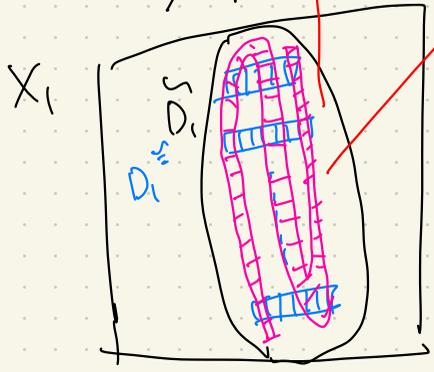
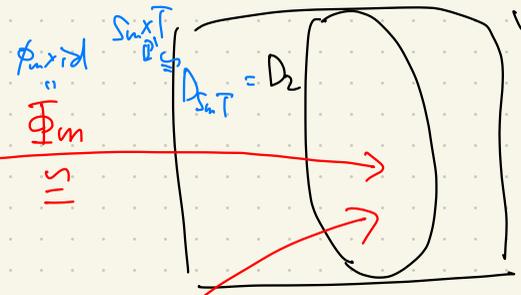
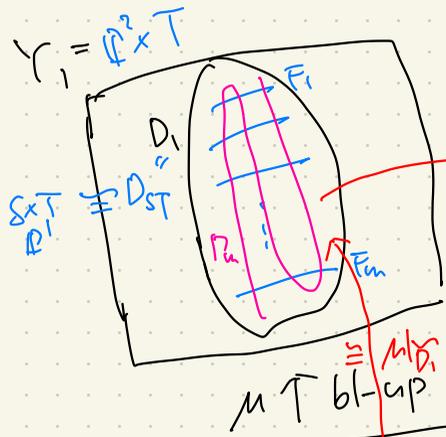
$\mu: X_1 \xrightarrow{\varphi} Y_1' \xrightarrow{\varphi} Y_1$: pwj. birat.
 $\tilde{P}_m \xrightarrow{\cong} P_m = \mathbb{P}^2 \times T$
 bl-up \tilde{P}_m blow-up F_1, \dots, F_m



$\Rightarrow X_0(m) := X_1 \overset{\Phi_m}{\cup} X_2$: SNC. CY ($\odot \tilde{D}_1 \in |K_{X_1}|$)
 & $\odot D_2 \in |K_{X_2}|$
 d-semistable

$(\odot N_{X_1/Y_1} \oplus \Phi_m^* N_{X_2/Y_2} \cong \mathcal{O}_A(F_1 + \dots + F_m + P_m))$

$\rightsquigarrow X_0(m)$ can be deformed to $X(m)$: CY N-fold.
Thm(KN) (not nec. pwj.)



Friedman's det $\Omega_{X^i}(k, k)_{X_0}$

$H^i(X, \mathcal{O}_X) = 0 = H^0(\Omega_X^i)$

\uparrow
 comp semi-st. \uparrow



Properties of $X(m)$ (i). $b_2(X(m)) = m + \rho_T$ $\left(\rho_T = \text{rank Pic } T = \begin{cases} 10 & (n=2) \\ 2 & (n \geq 3) \end{cases} \right)$
 (ii). $a(X(m)) = N - 2$ (alg. dim.)
 $(T \in |\mathcal{O}_{\mathbb{P}^r, \mathbb{P}^n}(1, n+1)|$ general sm.)

$\Rightarrow \varphi_m: X(m) \rightarrow T$: K3 fibration. $\left(X(m) : \text{fiber over } t \in \mathcal{O} : \text{very general} \right)$

prf (i). First: $b_2(X_0(m)) = m + \rho_T + 1$ by the exact sequence:

$$0 \rightarrow \text{Pic } X_0(m) \rightarrow \text{Pic } X_1 \oplus \text{Pic } X_2 \xrightarrow{R} \text{Pic } X_{12} \cong (\text{Pic } S \oplus \text{Pic } T) / \mathbb{Z}\langle \mathcal{K}_S, \mathcal{K}_T \rangle$$

$(X_{12} := X_1 \cap X_2)$

$$0 \rightarrow \mathbb{Z}^{\rho_T + m + 1} \rightarrow \mathbb{Z}^{m + \rho_T + 2} \oplus \mathbb{Z}^{2 + \rho_T} \rightarrow \text{Im } R \cong \mathbb{Z}^{\rho_T + 2} \rightarrow 0$$

(gen'd by $\text{Pic } T, H_S, \phi_m^*(H_{S_m})$)

\Rightarrow use Clemens map $X(m) \xrightarrow{\sigma} X_0(m)$: diffeo outside X_{12} $\left(\begin{array}{c} \text{S}^1\text{-ball over } X_{12} \\ \text{H}^1(\mathbb{R}^1_{\sigma^*} \mathbb{Z}_{X(m)}) \end{array} \right)$

\Rightarrow Leray's spec. seq.

$$H^0(\mathbb{R}^1_{\sigma^*} \mathbb{Z}) \rightarrow H^2(X_0(m), \mathbb{Z}) \rightarrow H^2(X(m), \mathbb{Z}) \rightarrow 0$$

$\text{Pic } X_0(m) \xrightarrow{\quad} \text{Pic } X(m)$

§ Further problems

Q: Does $X(m)$ satisfy $\partial\bar{\partial}$ -lemma & Hard Lefschetz property?
(\mathbb{R} Hodge decomposition (with Hodge symmetry))

rem: The Hodge to de Rham spec. seq

$$H^q(X, \Omega_X^p) \Rightarrow H^{p+q}(X, \mathbb{C}) : \text{degen at } E_1.$$

Q. $\forall N \geq 3: \exists X$. Are there so-many non-Kähler CY N -fold X with $c_1(X) = N-1$?
($X \xrightarrow{2} S$: ell. fibration)

Reid's fantasy: Can we connect projective CY 3-folds via geometric transitions?

Q: \exists geometric relation between proj. CY mflds & $X(m)$?

