

# Stable maps to Looijenga pairs

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Joint work [arXiv:2011.08830](https://arxiv.org/abs/2011.08830), [arXiv:2012.10353](https://arxiv.org/abs/2012.10353)

with Pierrick Bousseau and Andrea Brini

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*To the experts on polytopes, I present some new polytopial manipulations.*

## Overview

$(Y, D)$  Looijenga pair (= log Calabi-Yau surface of maximal boundary):

- ▶  $Y$  (smooth) projective surface.
- ▶  $|-K_Y| \ni D = D_1 + \cdots + D_l, l > 1$ .
- ▶  $D_j$  smooth nef.

There are 19 deformation-families of such,  $\infty$ -many if we allow for orbifold singularities at the  $D_i \cap D_j, i \neq j$ . We focus on  $l = 2$ .

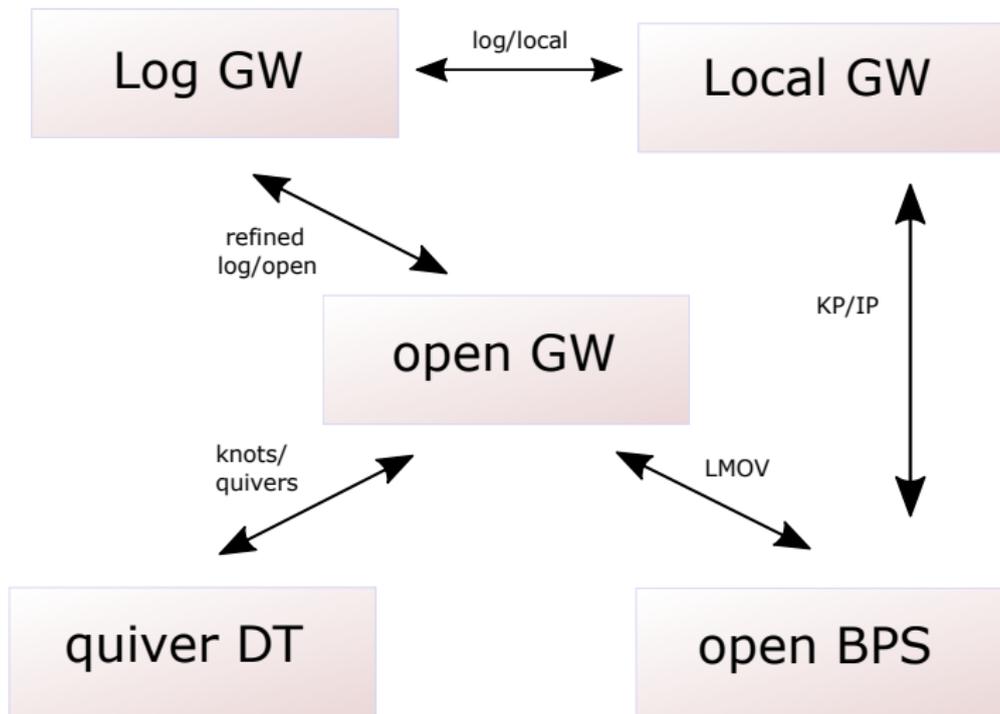
Example:  $\mathbb{P}^2(1, 4) = \mathbb{P}^2$  with  $D_1 = H$  a line and  $D_2 = 2H$  a conic.

Example:  $d\mathbb{P}_3(0, 2) =$  blow up of  $\mathbb{P}^2$  in 3 points with  $D_1 = H - E_3$  and  $D_2 = 2H - E_1 - E_2$ .

## Theme

- ▶ 5 different **enumerative theories** built from  $(Y, D)$ .
- ▶ They are all **equivalent**.
- ▶ They are all **closed-form solvable**.

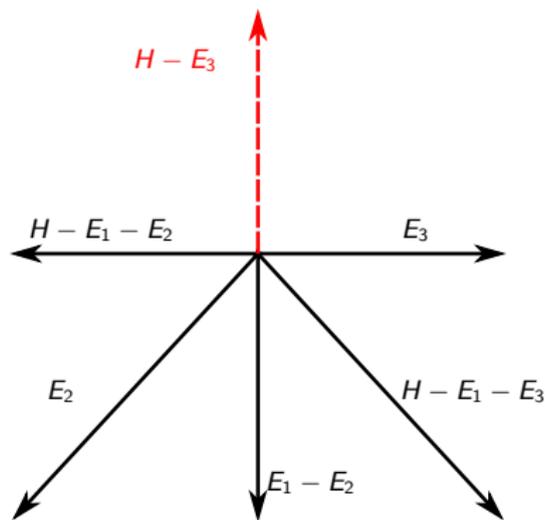
I describe some of these through **examples**.



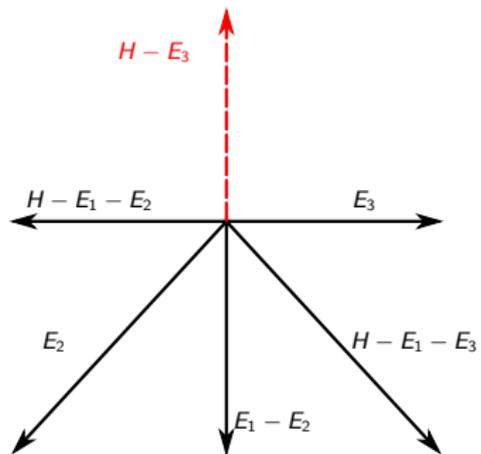
## Geometric Mechanism log $\rightarrow$ open

By example of  $dP_3(0, 2) = (\text{Bl}_{3\text{pts}} \mathbb{P}^2)$  ( $D_1 = H - E_3, D_2 = 2H - E_1 - E_2$ ).

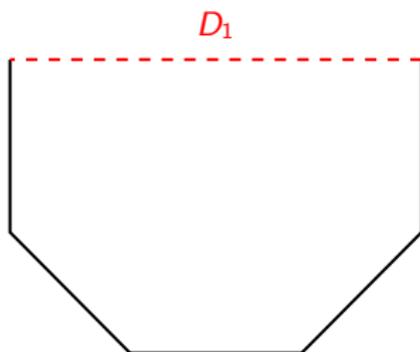
Fan of a deformation where  $D_1$  is toric:

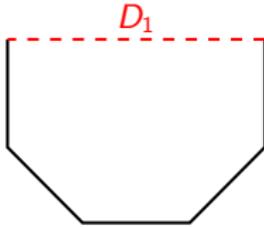


d

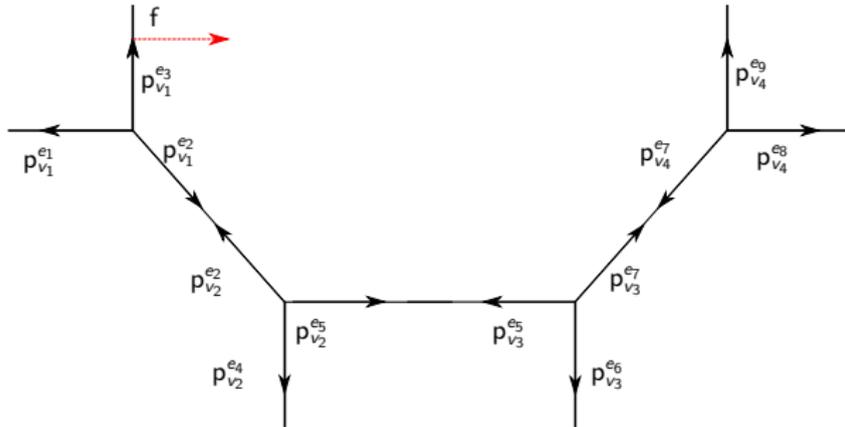


With polytope



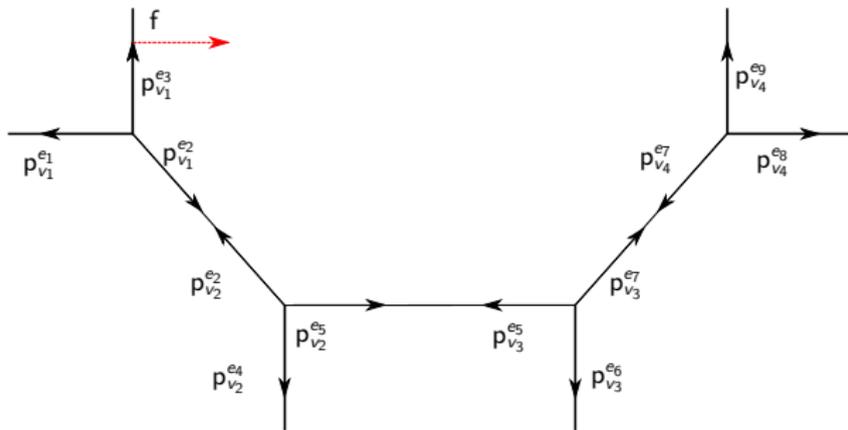


Replace edge  $D_1$  by framing and balance the vertices:



## Open geometry associated to Looijenga pair

$\leadsto$  toric CY graph = discriminant locus of the SYZ torus fibration of the toric Calabi–Yau 3-fold  $dP_3^{\text{op}}(0, 2) := \text{Tot}(K_{dP_3(0,2)} \setminus D_1)$ .



The framing  $f$  determines an **Aganagic–Vafa Lagrangian  $A$ -brane**. The construction is eminently **reversible**.

## Geometric manipulations

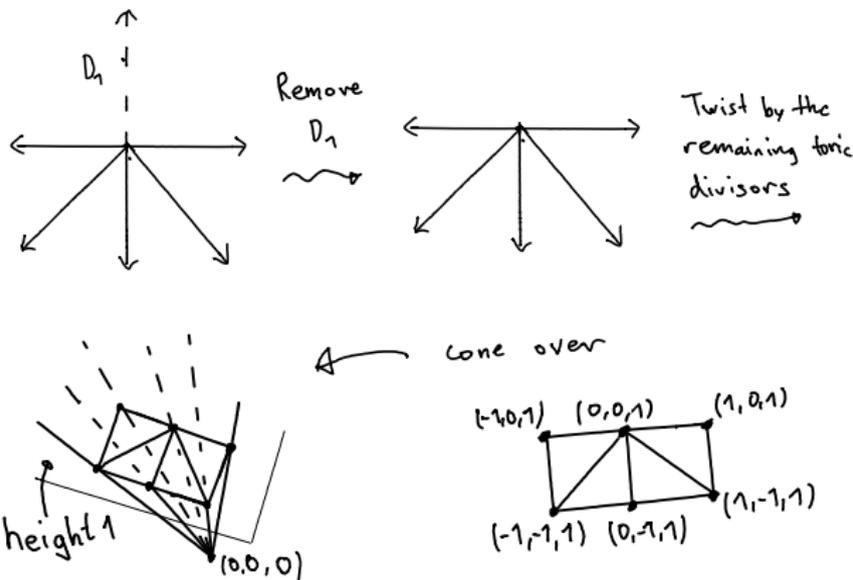
We designated  $D_1$  to be **open** and  $D_2$  to be **local**. Starting from the fan, we

1. **removed** the ray  $D_1$  (and remembered where it was through the framing),
2. **twisted** by the remaining **toric** rays (whose sum is lin. equiv. to  $D_2$ ).

$\leadsto$  **toric CY3**.

This construction works for 15 (out of 19) deformation families, and for  $\infty$ -many if we allow orbifold singularities.

Ignoring the framing, we can build the fan of the toric CY3  $dP_3^{\text{op}}(0,2)$  directly:



## Associated Calabi–Yau fourfold

**Variant:** Declare both  $D_1$  and  $D_2$  to be **local**. Twist by the toric divisors summing to  $D_2$  as before and twist by  $D_1$  in the fourth dimension.  $\leadsto$  toric CY4

$$\mathrm{dP}_3^{\mathrm{loc}}(0, 2) := \mathrm{Tot}(\mathcal{O}_{\mathrm{dP}_3}(-D_1) \oplus \mathcal{O}_{\mathrm{dP}_3}(-D_2)).$$

In general,  $Y^{\mathrm{loc}}(D_1, \dots, D_l)$  need not be toric.

### Remark

A priori, both  $D_1$  and  $D_2$  **open** another option. However: computational tools for open Gromov-Witten invariants (topological vertex, topological recursion) only available for **toric CY3**.

## Summary so far

For each of the 19  $Y(D_1, \dots, D_l)$  we can build  $Y^{\text{loc}}(D_1, \dots, D_l)$ , for 15 of them we can build  $Y^{\text{op}}(D_1, \dots, D_l)$  and for the 10 with  $l = 2$ , we also have some associated **quivers**.

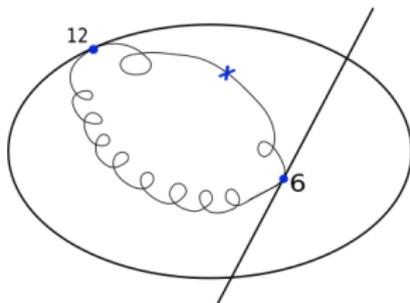
We come to the **enumerative theories** and first focus on  $\mathbb{P}^2(1, 4) := \mathbb{P}^2(D_1, D_2)$  with  $D_1 = \text{line}$ ,  $D_2 = \text{conic}$ .

## $\mathbb{P}^2(1, 4)$ with log CY boundary (line + conic)

The space of degree  $d$  rational curves in  $\mathbb{P}^2$  is of dimension  $3d - 1$ . One may formulate enumerative questions by asking a rational curve to

- ▶ pass through a point  $\leftrightarrow$  codim 1,
- ▶ be maximally tangent to a line/conic  $\leftrightarrow$  codim  $d - 1/2d - 1$ .

Let  $R_d := \#\{$  degree  $d$  rational curves in  $\mathbb{P}^2$  through 1 point and maximally tangent to both line and conic  $\}$ .

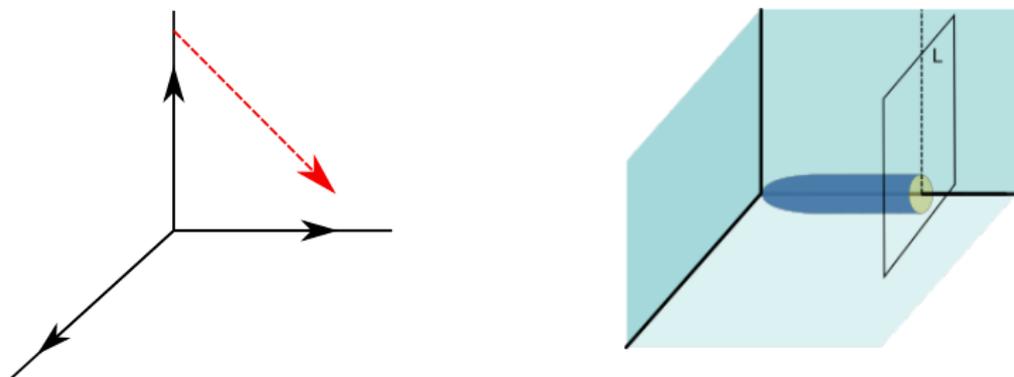


**Figure:** A degree 6 rational curve contributing to  $R_6 = 924$ .

$$\text{Then } R_d = \binom{2d}{d}.$$

## The open geometry $(\mathbb{P}^2)^{\text{op}}(1,4)$

The previous construction  $\leadsto (\mathbb{P}^2)^{\text{op}}(1,4) = (\mathbb{C}^3, L)$ , where  $L$  is the **A-brane** determined by the **framing**.



### Open GW invariants

Let  $O_d$  be the **Katz-Liu count of disks** in  $\mathbb{C}^3$  with boundary on  $L$ , of winding number  $d$  and with framing 1 (defined by localization).

$$O_d = \frac{(-1)^d}{2d^2} \binom{2d}{d} = \frac{(-1)^d}{2d^2} R_d.$$

## A local CY4 geometry

$$(\mathbb{P}^2)^{\text{loc}}(1, 4) := \text{Tot} \left( \mathcal{O}(-1) \oplus \mathcal{O}(-2) \longrightarrow \mathbb{P}^2 \right)$$

Local GW invariants

$N_d := \#\{ \text{degree } d \text{ rational curves in } (\mathbb{P}^2)^{\text{loc}}(1, 4) \text{ through 1 point } \}.$

**Theorem (Klemm-Pandharipande '07)**

$$N_d = \frac{(-1)^d}{2d^2} \binom{2d}{d} = \frac{(-1)^d}{2d^2} R_d$$

**Definition/Conjecture (Klemm-Pandharipande '07)**

$$N_d = \sum_{k|d} \frac{1}{k^2} KP_{d/k}$$

and  $KP_d \in \mathbb{Z}$ .

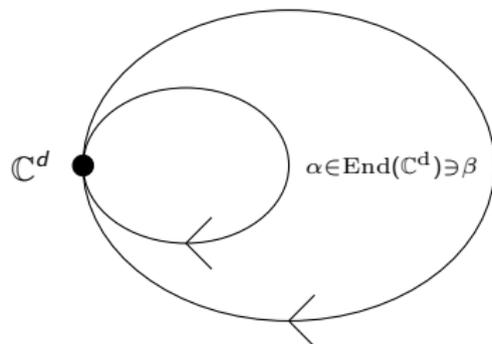
## Quiver associated to $\mathbb{P}^2(1, 4)$

The sequence  $(-1)^d KP_d$  is OEIS sequence A131868:

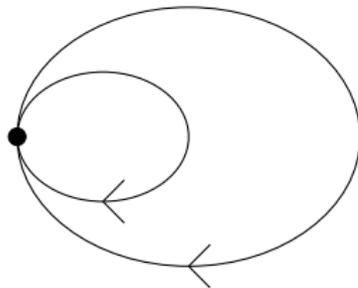
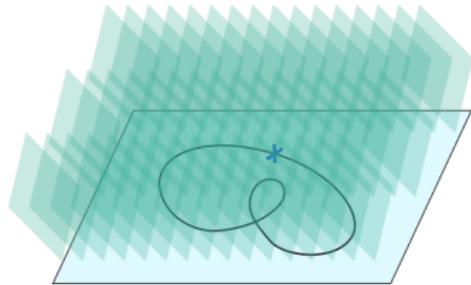
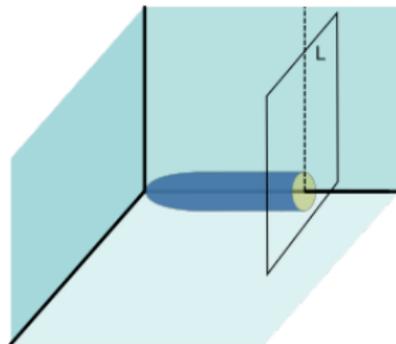
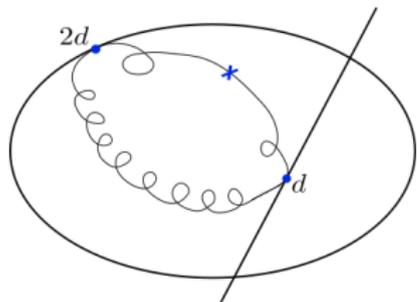
Konvalinka, Tewari, *Some natural extensions of the parking space*, arXiv:2003.04134.

$$\begin{aligned}(-1)^d KP_d &= (-1)^d \sum_{k|d} \frac{\mu(k)}{k^2} \frac{(-1)^{d/k}}{2d^2/k^2} \binom{2d/k}{d/k} \\ &= \frac{(-1)^d}{d^2} \sum_{k|d} \mu(d/k) \frac{(-1)^k}{2} \binom{2k}{k} \\ &= \frac{(-1)^d}{d^2} \sum_{k|d} \mu(d/k) (-1)^k \binom{2k-1}{k-1} = \text{DT}_d(Q),\end{aligned}$$

where  $Q$  is the 2-loop quiver (=oriented graph consisting of one vertex and two loops) and  $\text{DT}_d(Q)$  is its  $d$ th quiver DT invariant (Reineke '12).



4 different geometries in different dimensions exhibit 5 sets of equivalent invariants:



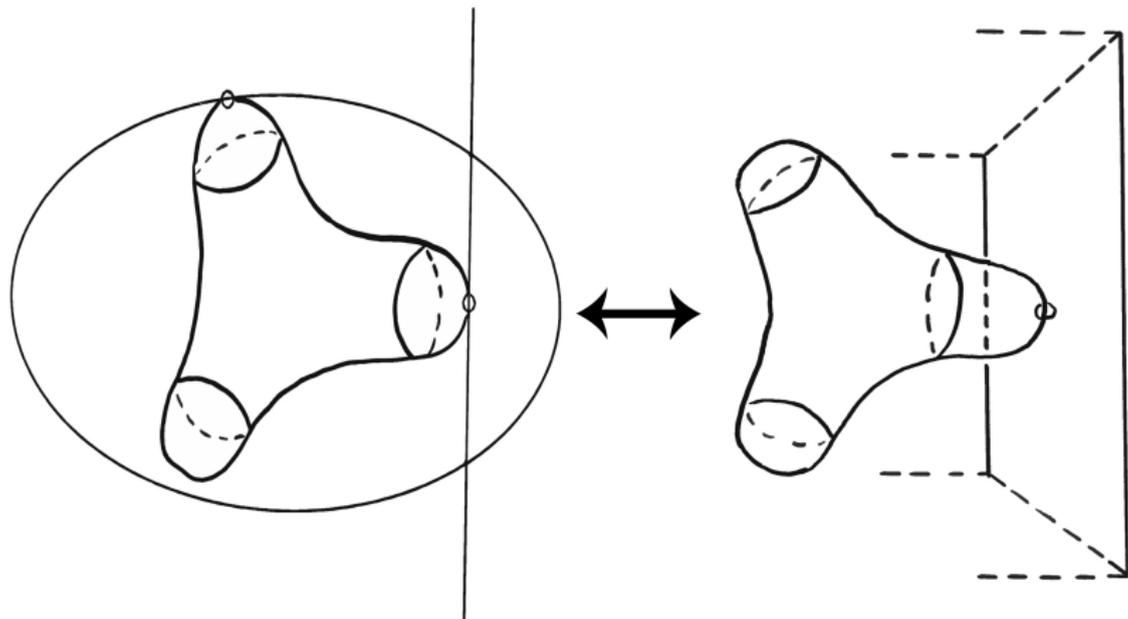
**Log/local** (N. Takahashi, Gathmann, vG-Graber-Ruddat, Bousseau-Brini-vG,  
Nabijou-Ranganathan, Tseng-You)

In large families of cases, equivalence of log & local invariants through:

Impose maximal  
tangency with

$D_j \iff$

twist by  $\mathcal{O}_Y(-D_j)$  and  
multiply by  $(-1)^{d \cdot D_j - 1} d \cdot D_j$ .

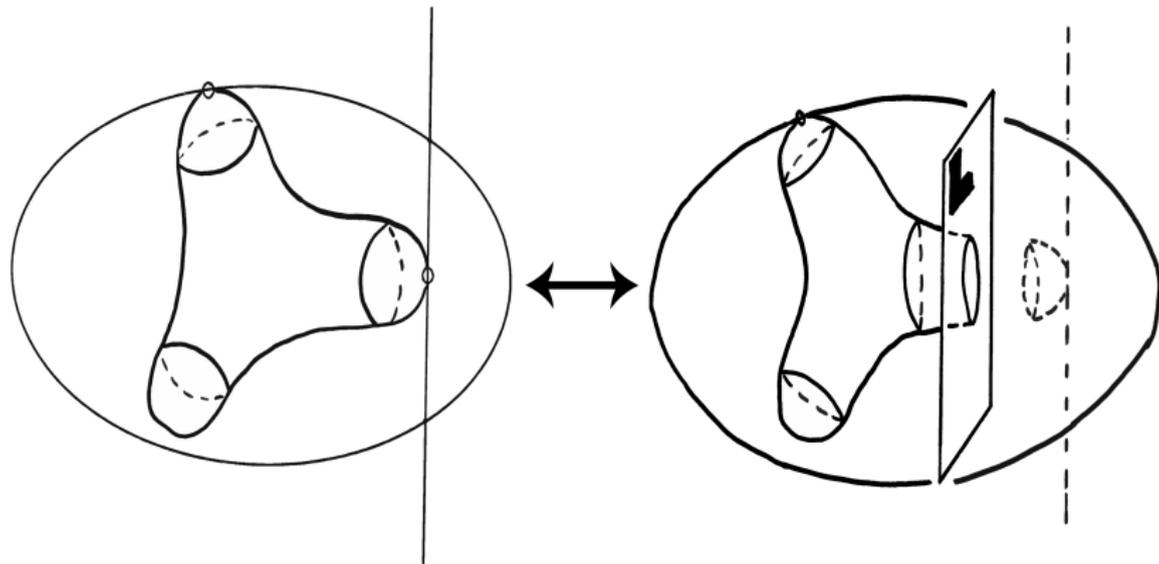


## Log/open

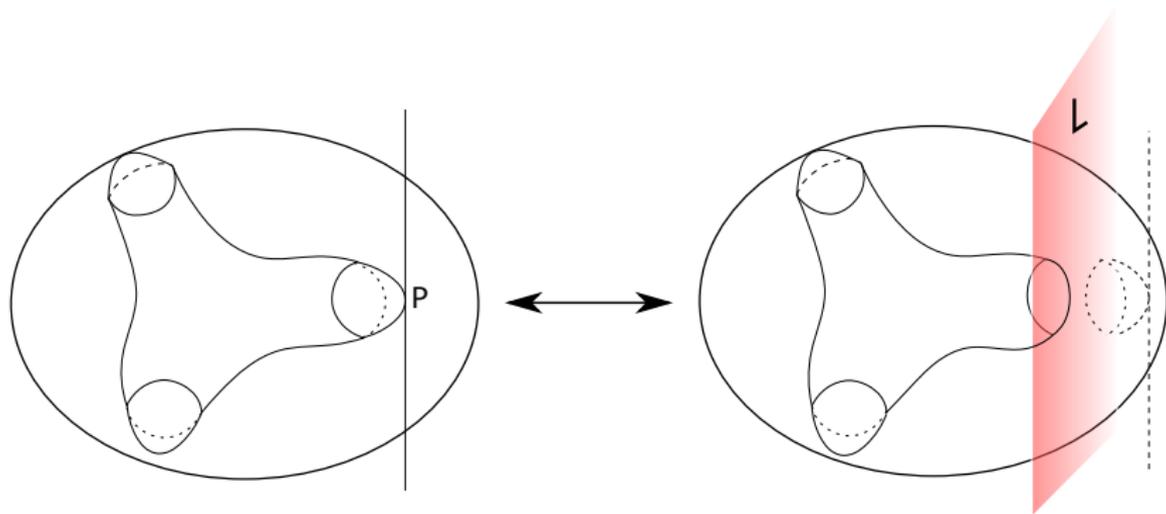
Maximal tangency with  $D_j$   
 $d \cdot D_j$  choices of contact points



replace  $D_j$  by a Lagrangian  $L$  near  $D_j$   
multiply by  $(-1)^{d \cdot D_j - 1} d \cdot D_j$ .



Curves in Looijenga surface  $Y(D_1, D_2) \leftrightarrow$  disks in open CY3  $Y^{\text{op}}(D_1, D_2)$



## Higher genus theorem for log/open

Under a positivity assumption (tameness), to each  $Y(D_1, \dots, D_l)$  we associate an open geometry  $Y^{\text{op}}(D_1, \dots, D_l)$  and prove that

$$\mathcal{O}_{\iota^{-1}(d)}(Y^{\text{op}}(D)) = \frac{1}{[1]_q^2} \prod_{i=1}^l \frac{(-1)^{d \cdot D_i + 1} [1]_q}{[d \cdot D_i]_q} \prod_{i=1}^{l-1} \frac{[d \cdot D_i]_q}{d \cdot D_i} R_d^{\text{log}}(Y(D)),$$

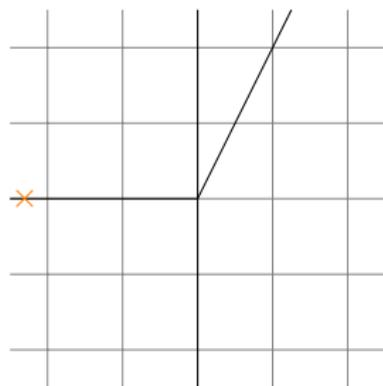
where  $\mathcal{O}_{\iota^{-1}(d)}(Y^{\text{op}}(D))$ , resp.  $R_d^{\text{log}}(Y(D))$ , are the **generating functions of open, resp. log, Gromov-Witten invariants**,

and where  $[n]_q := q^{\frac{n}{2}} - q^{-\frac{n}{2}}$  are the  $q$ -integers.

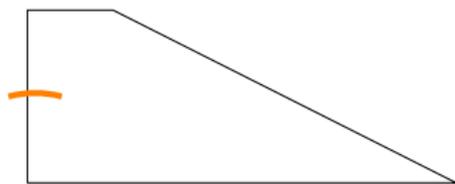
## Scattering diagrams in the Gross-Siebert program

For today, a scattering diagram is a 2-dim complete fan  $\Sigma$  with **focus-focus singularities**  $\times$  on the rays of  $\Sigma$  indicating **blow ups**  $E(\times)$  on the smooth loci of the prime toric divisors corresponding to that rays.

It is a scattering diagram for  $Y(D)$  if the associated variety with its boundary (= toric variety + blow ups  $E(\times)$  at smooth loci corresponding to  $\times$ 's) can be transformed into  $Y(D)$  by a sequence of toric blow ups and blow downs.



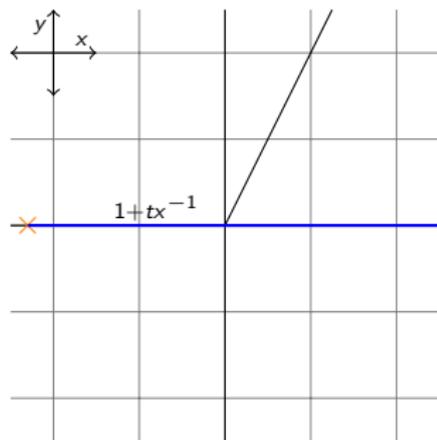
**Figure:** Scattering diagram for  $\mathbb{P}^2(1,4)$ .



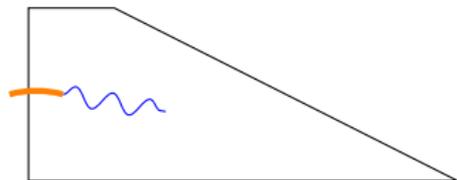
**Figure:** Polytope picture.

## Building degree $d$ curves in $\mathbb{P}^2$

A **wall** emanating out of  $\times$  with wall-crossing function  $1 + tx^{-1}$ .  $t = t^{[E(\times)]}$  keeps track of the intersection multiplicity with  $E(\times)$  (on  $\mathbb{P}^2$ ).

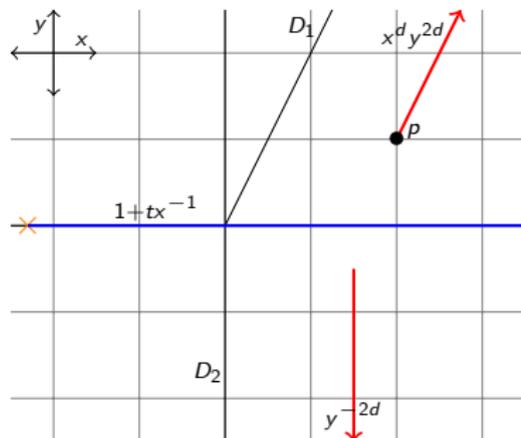


A (Maslov index 0) **disk** emanating out of the newly created singular fiber of the SYZ-fibration.



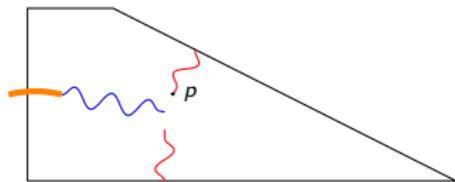
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Two **broken lines** coming from the  $D_1$ , resp.  $D_2$ , directions of index  $d$ , resp.  $2d$ , captured by their attaching functions.

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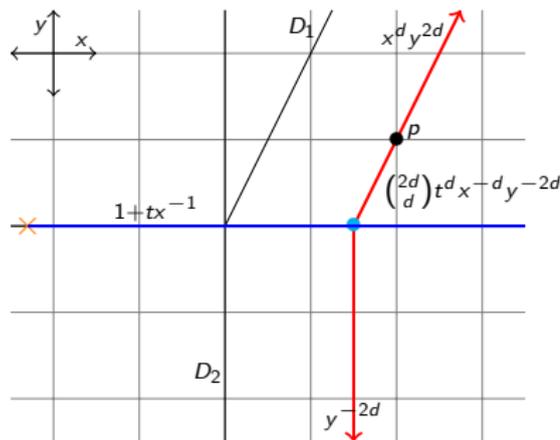
Two **disks** emanating out of the boundary of tangency  $d$ , resp.  $2d$ .

Point condition at  $p$ .

## Building degree $d$ curves in $\mathbb{P}^2$

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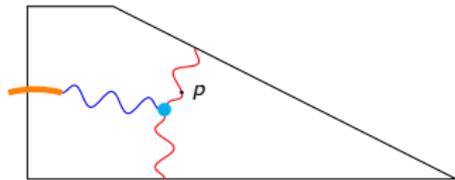
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A (Maslov index 0) **disk** emanating out of the newly created  
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 $2d$ .



The bottom broken line is crossing the wall according to the Gross-Siebert wall-crossing automorphism, picking up a contribution from  $\times$ . A priori there are many choices, but only one that guarantees the line is straight at  $p$ . It is the only contribution producing the correct  $t^d$  corresponding to the intersection multiplicity  $d$  with  $E(\times)$ .

The algorithm produces a coefficient, in this case  $\binom{2d}{d}$ . It is the result of a multiplication of two broken lines with asymptotic monomials  $z^{d[D_1]} = x^d y^{2d}$ , resp.  $z^{2d[D_2]} = y^{-2d}$ .

More precisely, it is the identity component of the result of multiplying two broken lines.

Moreover, summing over broken lines gives the theta functions.

**Theorem (Mandel '19, Keel-Yu '19, Gross-Siebert '19) Frobenius Conjecture (Gross-Hacking-Keelv1 '11)**

Let  $Y(D_1, D_2)$  be a log Calabi-Yau surface with scattering diagram  $\Sigma$  and let  $d$  be a curve class.

For any general  $p$ , denote by  $R_d$  the sum of the coefficients of all the possible results of multiplying broken lines with asymptotic monomials

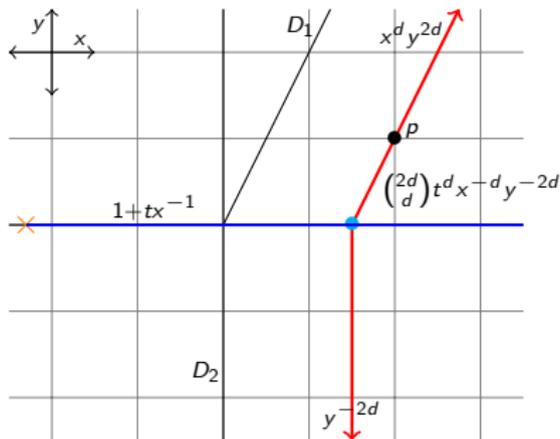
$$z^{(d \cdot D_1)[D_1]} \text{ and } z^{(d \cdot D_2)[D_2]}.$$

Then

$$R_d = R_d(Y(D)).$$

And that's why

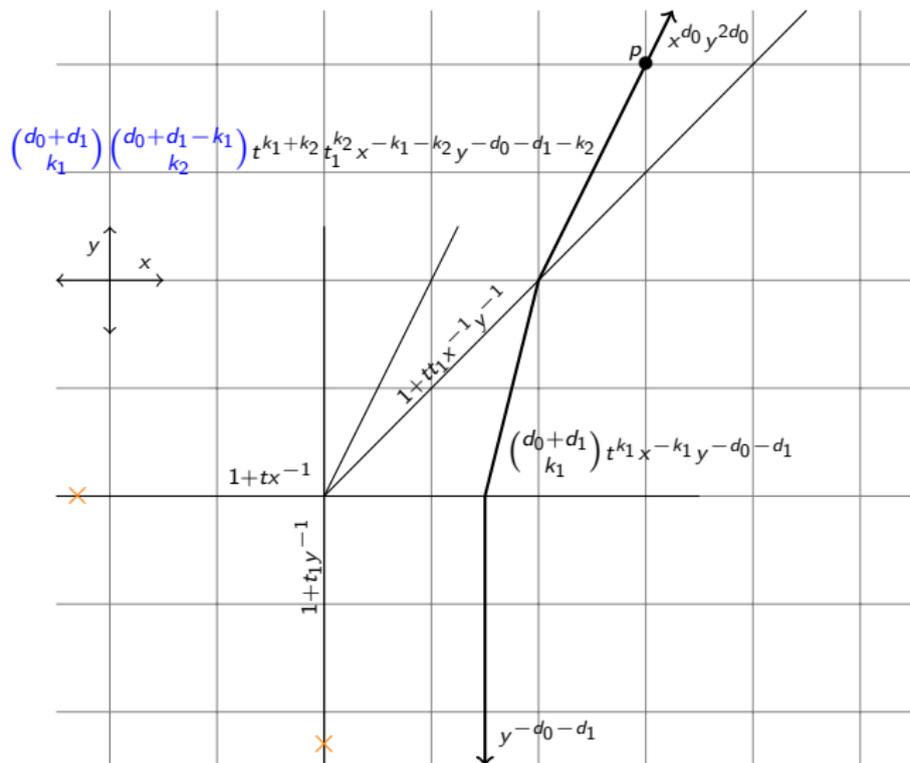
$$R_d(\mathbb{P}^2(1, 4)) = \binom{2d}{d}.$$



**Figure:** Scattering diagram for  $\mathbb{P}^2(1, 4)$  and two broken lines opposite at  $p$ .

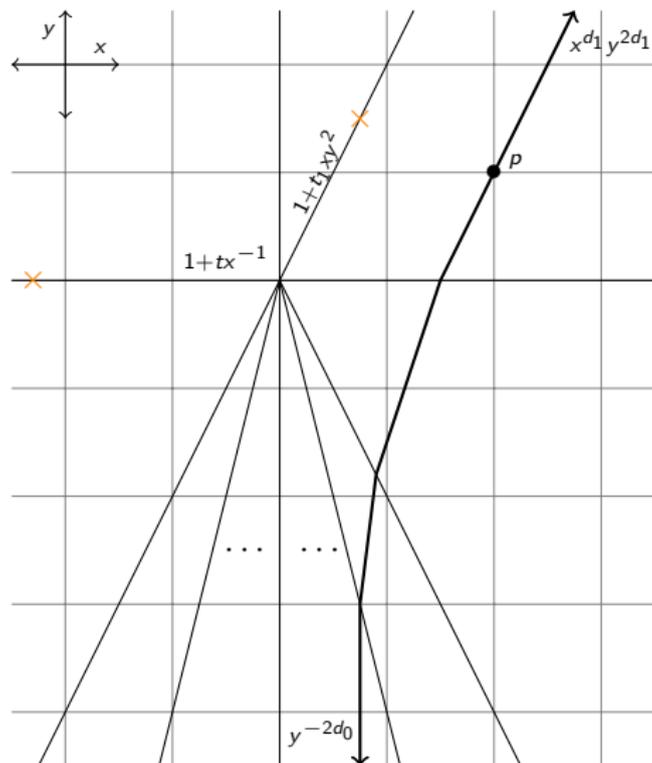
## Scattering diagram for $\mathbb{F}_1(1, 3)$

Two  $\times$  interacting in a simple way.



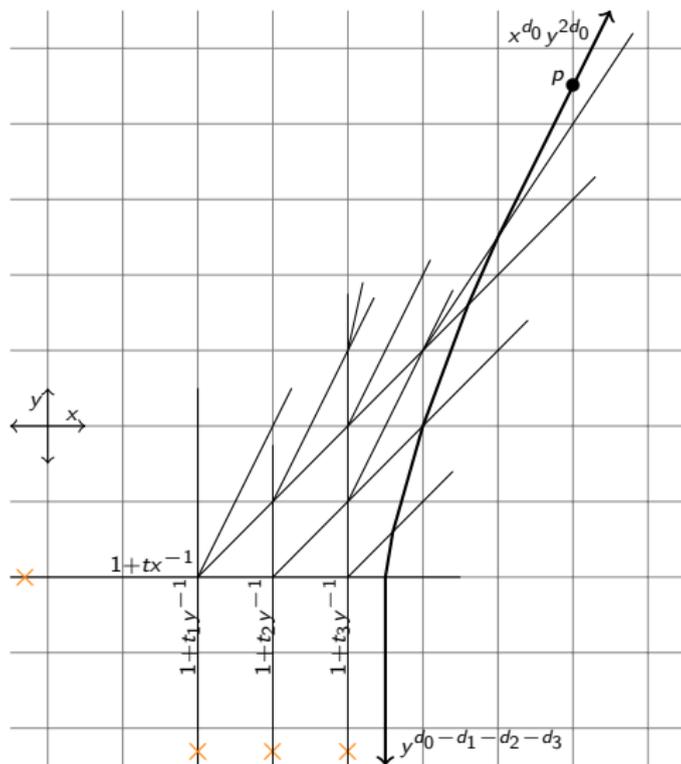
## Scattering diagram for $\mathbb{F}_1(0, 4)$

Two  $\times$  creating infinite scattering.



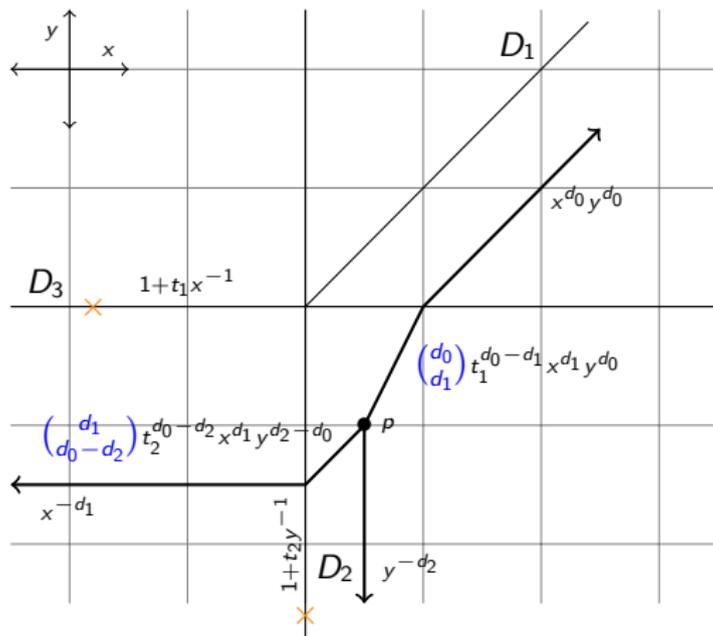
## Scattering diagram for $dP_3(1,1)$

Four  $\times$  creating finite scattering.



## 2-pointed invariants I

$$R_d^\psi(dP_2(1, 0, 0)) = \begin{pmatrix} d_0 \\ d_1 \end{pmatrix} \begin{pmatrix} d_1 \\ d_0 - d_2 \end{pmatrix}.$$



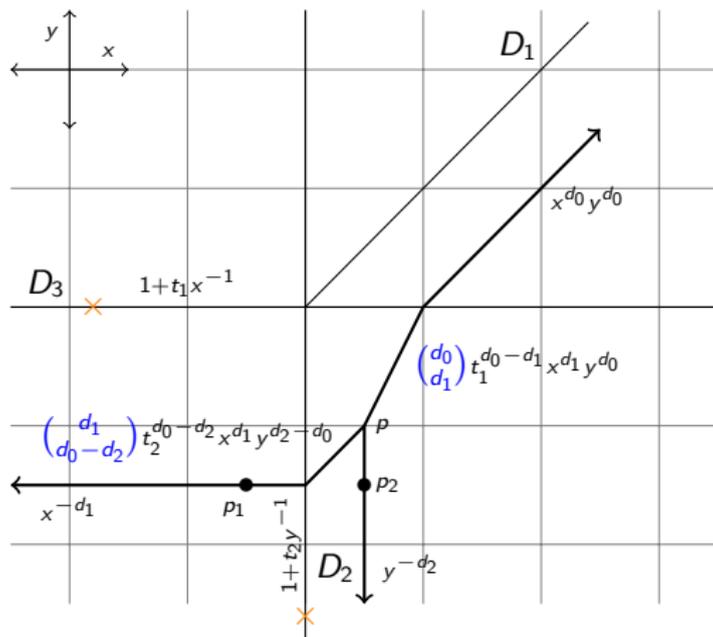
## 2-pointed invariants II

For the 2-point invariant, the tropical multiplicity at  $p$  is

$$\left| \det \begin{pmatrix} d_1 & 0 \\ d_0 & -d_2 \end{pmatrix} \right| = d_1 d_2$$

and hence

$$R_d(d\mathbb{P}_2(1, 0, 0)) = d_1 d_2 \binom{d_0}{d_1} \binom{d_1}{d_0 - d_2}.$$



## Refinement by example I

Recall/define

$$\mathbb{P}^2(1, 4) = \mathbb{P}^2 \text{ with boundary (line + conic),}$$
$$KP_d(\mathbb{P}^2(1, 4)^{\text{loc}}) = \sum_{k|d} \frac{\mu(k)}{k^2} N_{d/k}(\mathbb{P}^2(1, 4)^{\text{loc}}) = O_d^{\text{BPS}}(\mathbb{C}^3, L).$$

Refine the log invariants by higher genus invariants

$$R_{g,d}(\mathbb{P}^2(1, 4)) := \int_{[\overline{M}_{g,1}^{\text{log}}(Y(D), d)]^{\text{vir}}} (-1)^g \lambda_g \text{ev}^*([pt])$$

By Bousseau '18, the *quantized* scattering diagram computes  $R_{g,d}(\mathbb{P}^2(1, 4))$ :

$$R_d(\hbar) := \sum_{g \geq 0} R_{g,d}(\mathbb{P}^2(1, 4)) \hbar^{2g}.$$

## Refinement by example II

After the change of variable  $q = e^{i\hbar}$ ,

$$R_d(q) = \begin{bmatrix} 2d \\ d \end{bmatrix}_q,$$

which is the quantized binomial coefficient

$$\text{Coeff}_{x^d} (1 + q^{-\frac{2d-1}{2}} x)(1 + q^{-\frac{2d-1}{2}+1} x) \dots (1 + q^{\frac{2d-1}{2}} x).$$

**Theorem (Higher genus log/open correspondence)**

$$\mathcal{O}_{\iota^{-1}(d)}(Y^{\text{op}}(D)) = \frac{1}{[1]_q^2} \prod_{i=1}^l \frac{(-1)^{d \cdot D_i + 1} [1]_q}{[d \cdot D_i]_q} \prod_{i=1}^{l-1} \frac{[d \cdot D_i]_q}{d \cdot D_i} R_d^{\text{log}}(Y(D)),$$

## Refinement by example III

Multiple cover formula for open GW:

$$O_d(\mathbb{C}^3, L) = \sum_{k|d} \frac{1}{k^2} O_{d/k}^{\text{BPS}}(\mathbb{C}^3, L).$$

### Lifting to a refinement of $KP_d(\mathbb{P}^2(1, 4))$

Following Ooguri-Vafa, there is a Laurent polynomial refinement  $\Omega_d(q)$  of  $O_d^{\text{BPS}}(\mathbb{C}^3, L)$ , i.e. such that

$$\Omega_d(q=1) = O_d^{\text{BPS}}(\mathbb{C}^3, L) = KP_d(\mathbb{P}(1, 4))^{\text{loc}}.$$

### Theorem (Higher genus open BPS integrality)

$$\Omega_d(q^{-1}) = \Omega_d(q) \in q^{-\binom{d-1}{2}} \mathbb{Z}[q].$$

E.g.

$$\begin{aligned} \Omega_1(q) &= -1, & \Omega_2(q) &= 1, & \Omega_3(q) &= -\left(1 + \left(q^{1/2} - q^{-1/2}\right)^2\right), \\ \Omega_4(q) &= 2 + 6\left(q^{1/2} - q^{-1/2}\right)^2 + 5\left(q^{1/2} - q^{-1/2}\right)^4 + \left(q^{1/2} - q^{-1/2}\right)^6, \dots \end{aligned}$$

## Summary of today

### Theorem

For each tame  $Y(D)$  and its associated toric CY3  $(X, L)$ ,

- ▶ The higher genus log/open correspondence holds.
- ▶ The higher genus open BPS invariants are Laurent polynomials with integer coefficients
- ▶ and provide a refinement for the  $KP_d(Y(D)^{\text{loc}})$  ← of interest to the enumerative geometry of CY4.

