

# The tropical geometry of monotone Hurwitz numbers

Marvin Anas Hahn

(w/J.W. van Ittersum, F. Leid, R. Kramer and D. Lewanski)

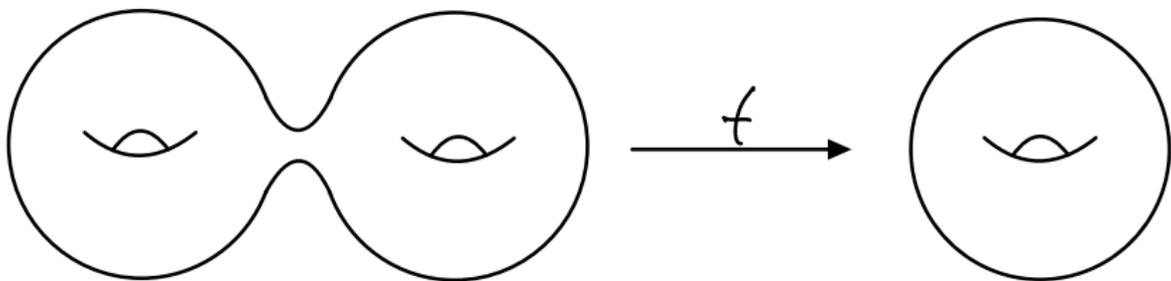
Nottingham University

Online Algebraic Geometry Seminar, 20.01.22



# Introduction and context

**Hurwitz numbers:** Important enumerative invariants in algebraic geometry



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**Hurwitz numbers:** Important enumerative invariants in algebraic geometry

Introduced by Hurwitz in the 1890s.

Rapid developments since 1990s due to deep connections with **Gromov–Witten theory** and **string theory**.

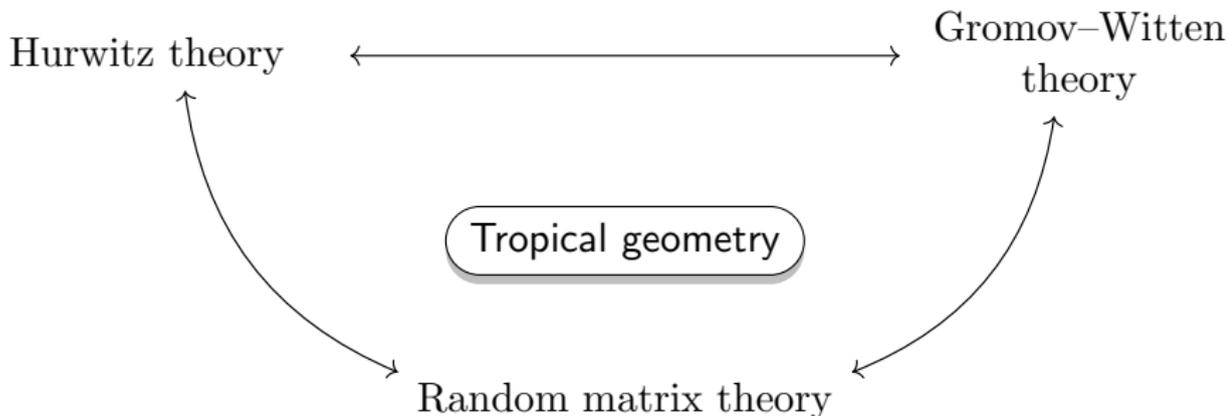
# Connections to other fields



# Introduction and context

**Today:** Focus on *monotone Hurwitz numbers* from random matrix theory.

**Progress report:** programme towards connecting monotone Hurwitz numbers to Gromov–Witten theory via combinatorial methods of **tropical geometry**.



# Overview

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- 2 Connections to Gromov–Witten theory
- 3 Random matrix theory and monotone Hurwitz theory
- 4 Tropical monotone Hurwitz numbers
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# Riemann surfaces

Recall: **Riemann surfaces** are one-dimensional complex manifolds.

Hurwitz theory is concerned with the enumeration von holomorphic maps between *compact Riemann surfaces*, i.e. finite regular morphisms between complex smooth algebraic curves.

# Maps between Riemann surface

Let  $S_1, S_2$  compact Riemann surfaces,  $f: S_2 \rightarrow S_1$  a non-constant holomorphic map.

For all  $y \in S_2$ , we have that  $f$  locally at  $y$  is given by

$$z \mapsto z^{n_y},$$

where  $n_y \in \mathbb{N}_{\geq 1}$ .

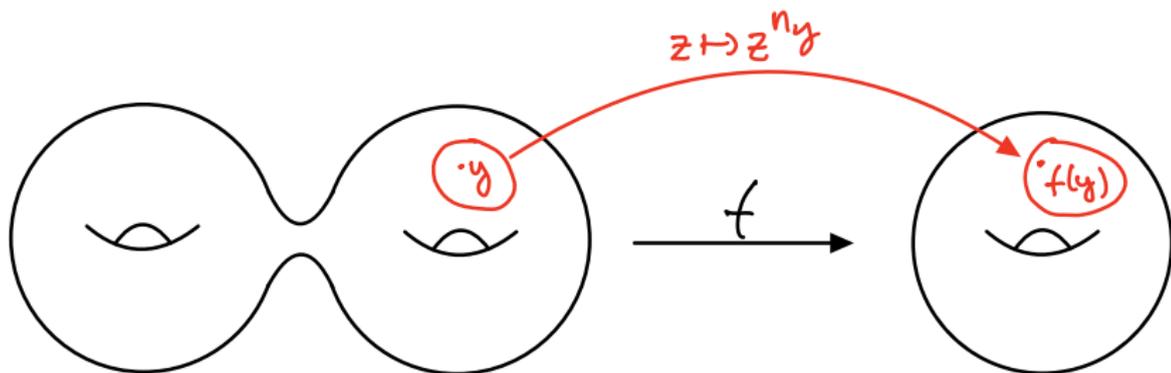
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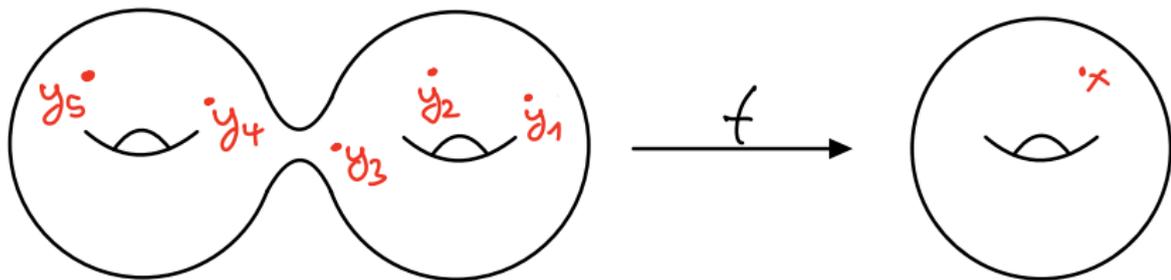
For all but finitely many  $y$ , we have  $n_y = 1$ .

# Maps between Riemann surface

For  $f: S_2 \rightarrow S_1$ , let  $x \in S_1$  and

$$f^{-1}(x) = \{y_1, \dots, y_s\},$$

then, we call  $\mu_x = (n_{y_1}, \dots, n_{y_s})$  the **ramification profile** of  $x$ .



$$\mu_x = (n_{y_1}, n_{y_2}, n_{y_3}, n_{y_4}, n_{y_5})$$

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## Fact

All ramification profiles  $\mu_x$  are partitions of the same number  $d$ ,  
i.e.  $d = n_{y_1} + \dots + n_{y_s}$ .

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If  $\mu_x = (2, 1, \dots, 1)$ , we call  $x$  **simply ramified**.

# Hurwitz numbers

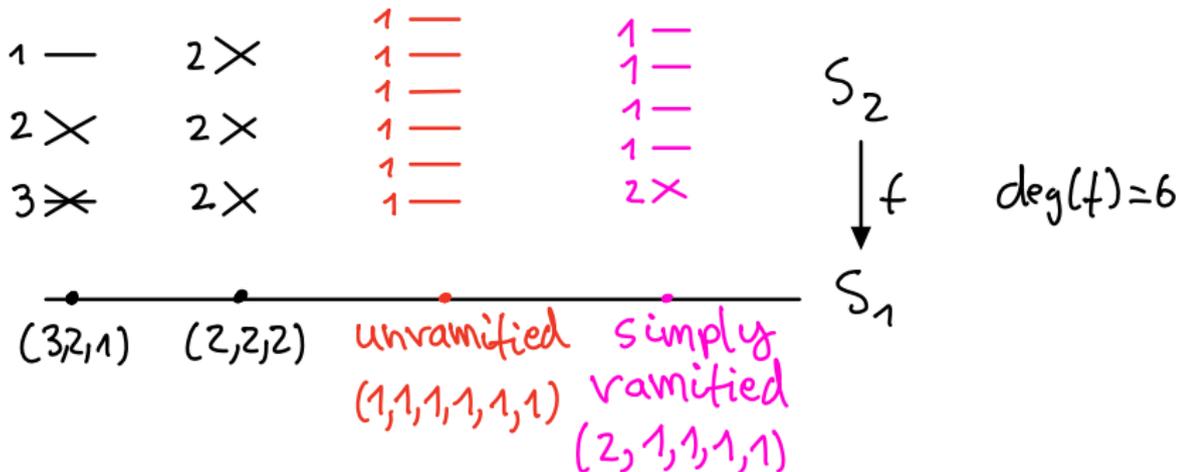
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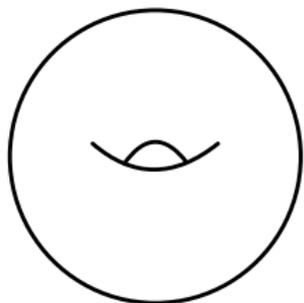
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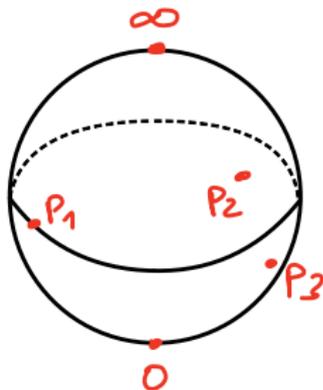
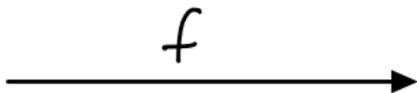
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- all other points are unramified.

# Double Hurwitz numbers



$g=1$



# Computation via the symmetric group

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Let  $\sigma \in S_d$  a permutation. We call the associated partition its **cycle type**  $C(\sigma)$ .

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E.g.  $C((1\ 2\ 3)(4\ 5)(6)) = (3, 2, 1)$ .

# Computation via the symmetric group

## Theorem (Hurwitz '1892)

Let  $d > 0$ ,  $r \geq 0$ ,  $\mu, \nu \vdash d$ . Then, we have:

$$H_r(\mu, \nu) = \frac{1}{d!} \cdot \left\{ \begin{array}{l} (\sigma_1, \tau_1, \dots, \tau_r, \sigma_2): \\ \bullet \sigma_1, \sigma_2, \tau_i \in S_d \\ \bullet C(\sigma_1) = \mu, C(\sigma_2) = \nu \\ \bullet \tau_i \text{ transposition} \\ \bullet \sigma_1 \tau_1 \cdots \tau_r = \sigma_2 \end{array} \right\}$$

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Let  $d = 4$ ,  $r = 3$ ,  $\mu = (4)$ ,  $\nu = (2, 2)$ .

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Proceeding through all tuples, we obtain  $H_r(\mu, \nu) = 14$ .

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# Gromov–Witten theory

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- Study of the intersection theory of parameter spaces of curves, more precisely their moduli spaces.
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Connections between Gromov–Witten and Hurwitz theory via the celebrated ELSV formula.

# ELSV formula

## ELSV formula (Ekedahl, Lando, Shapiro, Vainshtein '99/'01)

Let  $d > 0$ ,  $r \geq 0$  and  $\mu, \nu \vdash d$  with  $\nu = (1, \dots, 1)$ . Then, we have

$$H_r(\mu, \nu) =$$

$$(\text{Comb. factor}) \cdot (\text{Gromov–Witten invariants of } \overline{M}_{g,n}).$$

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In other words: **Hurwitz numbers enumerate certain Gromov–Witten invariants!**

# Applications of the ELSV formula

The ELSV formula implies various important theorems on the intersection theory of  $\overline{M}_{g,n}$ .

- Witten's conjecture/Kontsevich's theorem
- Virasoro constraints
- Faber's  $\lambda_g$  conjecture

# Polynomiality of Hurwitz numbers

**It also allows applications in Hurwitz theory**

E.g. it implies the Goulden–Jackson conjecture '99.

**Conjecture (Goulden, Jackson '99)**

Let  $d > 0$ ,  $r \geq 0$  and  $\mu, \nu \vdash d$  with  $\nu = (1, \dots, 1)$ . Then, we have

$$H_r(\mu, \nu) = (\text{Comb. factor}) \cdot P_g(\mu),$$

where  $P_g(\mu)$  is a polynomial in the entries of  $\mu$ .

# Generalisations

## Question

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**2005:** Goulden, Jackson and Vakil propose a programme towards an ELSV type formula for all double Hurwitz numbers.

**Idea:** Derive polynomial behaviour of  $H_r(\mu, \nu)$  for any  $\nu$  and reconstruct an ELSV type formula.

# Theorem of Goulden, Jackson and Vakil

Let  $m, n \in \mathbb{N}_{\geq 1}$  and consider

$$\mathcal{H}_{m,n} := \{(\mu, \nu) \in \mathbb{N}^m \times \mathbb{N}^n \mid \sum \mu_i = \sum \nu_i\}.$$

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## Theorem (Goulden, Jackson, Vakil '05)

Let  $r \geq 0$ . The map

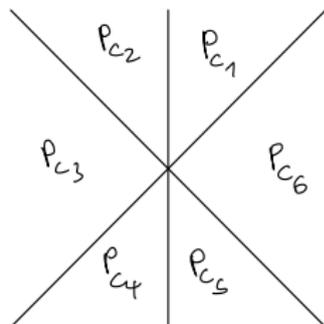
$$\begin{aligned} \mathcal{H}_{m,n} &\rightarrow \mathbb{Q} \\ (\mu, \nu) &\mapsto H_r(\mu, \nu). \end{aligned}$$

is **piecewise polynomial**.

# Theorem of Goulden, Jackson and Vakil

Hyperplane arrangement in  $\mathcal{H}_{m,n}$ .

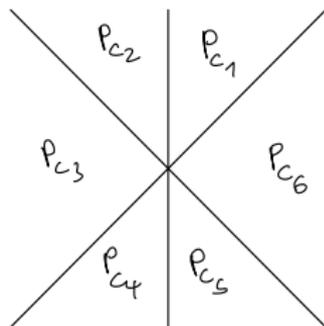
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Conjecture (Goulden, Jackson, Vakil '05; Bayer, Cavalieri, Johnson, Markwig '12)

Concrete proposal for an ELSV type formula for double Hurwitz numbers

# Polynomiality of double Hurwitz numbers

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Theorem (Cavalieri, Johnson, Markwig '10)

The difference  $P_{C_1}(\mu, \nu) - P_{C_2}(\mu, \nu)$  may be expressed recursively in terms of Hurwitz numbers with smaller input data. (**Recursive wall-crossing formula**)

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**Since 2010:** Strong progress, but Goulden–Jackson–Vakil/  
Bayer–Cavalieri–Johnson–Markwig conjecture remains open.

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# Harish-Chandra–Itzykson–Zuber integral

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(**Motivation:** Conjecture about the convergence of the HCIZ integral – proved in 2020 by Novak.)

# Monotone double Hurwitz numbers

## Definition (Goulden, Guay-Paquet, Novak '11)

Let  $d > 0$ ,  $r \geq 0$ ,  $\mu, \nu \vdash d$ . Then, we define **monotone double Hurwitz numbers**:

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- For  $\sigma_1 = (1234)$ ,  $\tau_1 = (12)$ ,  $\tau_2 = (13)$ ,  $\tau_3 = (23)$ ,  
 $\sigma_2 = (14)(23)$ , we have

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Proceedings through all tuples, we obtain  $\vec{H}_r(\mu, \nu) = \frac{25}{4}$ .

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- 1 Our ansatz is via **tropical geometry**.
- 2 Long-term goal: **ELSV-Typ formula** for monotone double Hurwitz numbers.

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- 1 Introduction to Hurwitz theory
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- 4 Tropical monotone Hurwitz numbers**
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# Tropical geometry

**Tropical geometry:** Conceptualisation of a combinatorial approach to algebraic geometry

- Inception in 2000s through work of Mikhalkin and Sturmfels following a suggestion of Kontsevich
- **Tropicalisation:** Transformation of algebro-geometric to combinatorial objects

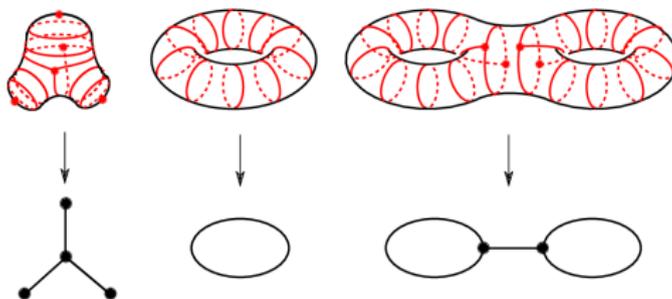


Figure: Colla, Marelli - "Pair of pants decomposition of 4-manifolds"

# Tropical Hurwitz numbers

**Cavalieri, Johnson, Markwig '08:** Graph-theoretic interpretation of classical double Hurwitz numbers.

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# Tropical monotone Hurwitz numbers

**Problem:** No geometric interpretation of monotone double Hurwitz numbers.

- Monotone factorisations not preserved under conjugation.



# Tropical monotone Hurwitz numbers

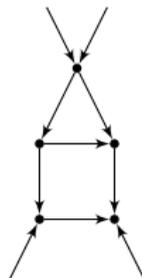
Theorem (H. '17; H., Lewanski '18)

Let  $d > 0$ ,  $r \geq 0$ ,  $\mu, \nu \vdash d$ . Then, we have

$$\vec{H}_r(\mu, \nu) = \sum_{\Gamma} \dots$$

where

- $\Gamma$  finitely many oriented graphs



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In other words: **Relative Gromov–Witten invariants compute monotone double Hurwitz numbers!**

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- Purely an existence theorem;
- Wall-crossing formulae not approachable with the involved methods → **Open problem**

# Wall-Crossing formulae for $\vec{H}_r(\mu, \nu)$

Using the tropical interpretation for monotone double Hurwitz numbers, we could prove the following.

Theorem (H. '17; H., Kramer, Lewanski '17; H., Lewanski '19)

- Algorithms to compute monotone double Hurwitz numbers
- Monotone double Hurwitz numbers admit recursive wall-crossing formulae.

# Wall-Crossing formulae for $\vec{H}_r(\mu, \nu)$

## Sketch of proof

- Use the tropical interpretation  $\vec{H}_r(\mu, \nu) = \sum_{\Gamma} \text{GW}(\Gamma)$
- Observe:  $\text{GW}(\Gamma)$  is a discrete integral over a polytope  $\rightarrow$  Polynomiality via Ehrhart theory
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Our results also hold for **strictly monotone Hurwitz numbers** ( $s_i < s_{i+1}$ ), that are equivalent to an enumeration of Grothendieck dessins d'enfants.

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- all other points are unramified.

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**Dijkgraaf '95:** Confirms two predictions of mirror symmetry regarding the structure of elliptic Hurwitz numbers.

# Quasimodularity

One of the predictions is the following:

## Theorem (Dijkgraaf '95)

Let  $E_2, E_4, E_6$  be the Ehrhart series given by

$$E_k(q) = -\frac{B_{2k}}{2k} + \sum_{r=1}^{\infty} \sum_{m=1}^{\infty} m^{k-1} q^{mr}.$$

Then, for fixed  $r \geq 2$ , we have

$$\sum_{d=1}^{\infty} N_{d,r} q^d \in \mathbb{Q}[E_2, E_4, E_6],$$

i.e. it is a **quasimodular form**.

# Quasimodularity

**Eskin–Okounkov '99:** This quasimodularity property allows to study the asymptotics of elliptic Hurwitz numbers as  $d$  approaches infinity.

# Quasimodularity

Elliptic Hurwitz numbers equivalently enumerate factorisations  $(\tau_1, \dots, \tau_r, \alpha, \beta)$ , with  $\tau_i$  transpositions,  $\alpha, \beta$  any permutations and

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From this interpretation, it follows that for fixed  $r \geq 2$ , the series

$$\sum_{d=1}^{\infty} \vec{N}_{d,r} q^d$$

is a quasimodular form as well.